

Race and Ethnicity

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9 CHAPTER



Imagine that you are an African American man living in Macon County, Alabama, during the Great Depression of the 1930s. Your home is a little country shack with a dirt floor. You have no electricity or running water. You never finished grade school, and you make a living, such as it is, by doing odd jobs. You haven't been feeling too good lately, but you can't afford a doctor.

Then you hear incredible news. You rub your eyes in disbelief. It is just like winning the lottery! If you join Miss Rivers' Lodge (and it is free to join), you will get free physical examinations at Tuskegee University for life. You will even get free rides to and from the clinic, hot meals on examination days, and a lifetime of free treatment for minor ailments.

You eagerly join Miss Rivers' Lodge.

After your first physical examination, the doctor gives you the bad news. "You've got bad blood," he says. "That's why you've been feeling bad. Miss Rivers will give you some medicine and schedule you for your next exam. I've got to warn you, though. If you go to another doctor, there's no more free exams or medicine."

"You have just become part of one of the most callous experiments of all time."

You can't afford another doctor anyway. You are thankful for your treatment, take your medicine, and look forward to the next trip to the university.

What has really happened? You have just become part of what is surely slated to go down in history as one of the most callous experiments of all time, outside of the infamous World War II Nazi and Japanese experiments.

With heartless disregard for human life, the U.S. Public Health Service told 399 African American men that they had joined a social club and burial society called Miss Rivers' Lodge. What the men were not told was that they had syphilis, that there was no real Miss Rivers' Lodge, that the doctors were just using this term so they could study what happened when syphilis went untreated. For forty years, the "Public Health Service" allowed these men to go without treatment for their syphilis—and kept testing them each year—to study the progress of the disease. The "public health" officials even had a control group of 201 men who were free of the disease (Jones 1993).

By the way, the men did receive a benefit from "Miss Rivers' Lodge," a free autopsy to determine the ravages of syphilis on their bodies.

Laying the Sociological Foundation

As unlikely as it seems, this is a true story. Rarely do racial-ethnic relations degenerate to this point, but reports of troubled race relations surprise none of us. Today's newspapers, TV, and Internet regularly report on racial problems. Sociology can contribute greatly to our understanding of this aspect of social life—and this chapter may be an eye-opener for you. To begin, let's consider to what extent race itself is a myth.

Race: Myth and Reality

The Reality of Human Variety. With its 7 billion people, the world offers a fascinating variety of human shapes and colors. Skin colors come in all shades between black and white, heightened by reddish and yellowish hues. Eyes come in shades of blue, brown, and green. Lips are thick and thin. Hair is straight, curly, kinky, black, blonde, and red—and, of course, all shades of brown.

As humans spread throughout the world, their adaptations to diverse climates and other living conditions resulted in this profusion of colors, hair textures, and other physical variations. Genetic mutations added distinct characteristics to the peoples of the globe. In this sense, the concept of **race**—a group of people with inherited physical

Learning Objectives

After you have read this chapter, you should be able to:


- 9.1** Contrast the myth and reality of race, race and ethnicity, and minority and dominant groups; discuss ethnic work. (p. 253)
- 9.2** Contrast prejudice and discrimination and individual and institutional discrimination; discuss learning prejudice, internalizing dominant norms, and institutional discrimination. (p. 260)
- 9.3** Contrast psychological and sociological theories of prejudice: include functionalism, conflict, and symbolic interactionism. (p. 264)
- 9.4** Explain genocide, population transfer, internal colonialism, segregation, assimilation, and multiculturalism. (p. 267)
- 9.5** Summarize the major patterns that characterize European Americans, Latinos, African Americans, Asian Americans, and Native Americans. (p. 271)
- 9.6** Discuss immigration, affirmative action, and a multicultural society. (p. 284)

- 9.1** Contrast the myth and reality of race, race and ethnicity, and minority and dominant groups; discuss ethnic work.

race a group whose inherited physical characteristics distinguish it from other groups



Humans show remarkable diversity. Shown here is just one example—He Pingping, from China, who at 2 feet 4 inches, was the world’s shortest man, and Svetlana Pankratova, from Russia, who, according to the *Guinness Book of World Records*, is the woman with the longest legs. Race–ethnicity shows similar diversity.

 **Watch on MySocLab**
Video: Race and Ethnicity:
 The Big Picture

 **Read on MySocLab**
Document: Race Matters

genocide the annihilation or attempted annihilation of a people because of their presumed race or ethnicity

characteristics that distinguish it from another group—is a reality. Humans do, indeed, come in a variety of colors and shapes.

The Myth of Pure Races. Humans show such a mixture of physical characteristics that there are no “pure” races. Instead of falling into distinct types that are clearly separate from one another, human characteristics—skin color, hair texture, nose shape, head shape, eye color, and so on—flow endlessly together. The mapping of the human genome system shows that the so-called racial groups differ from one another only once in a thousand subunits of the genome (Angler 2000; Frank 2007). As you can see from the example of Tiger Woods, discussed in the Cultural Diversity box on the next page, these minute gradations make any attempt to draw lines of pure race purely arbitrary.

The Myth of a Fixed Number of Races. Although large groupings of people can be classified by blood type and gene frequencies, even these classifications do not uncover “race.” Rather, the term is so arbitrary that biologists and anthropologists cannot even agree on how many “races” there are (Smedley and Smedley 2005). Ashley Montagu (1964, 1999), a physical anthropologist, pointed out that some scientists have classified humans into only two “races,” while others have found as many as two thousand. Montagu (1960) himself classified humans into forty “racial” groups.

“Race” is so fluid that even a plane ride can change someone’s race. If you want to see how, read the Down-to-Earth Sociology box on page 256.

The Myth of Racial Superiority. Regardless of what anthropologists, biologists, and sociologists say, however, people do divide one another into races, and we are stuck with this term. People also tend to see some races (mostly their own) as superior and others as inferior. As with language, however, no race is better than another. All races have their geniuses—and their idiots. Yet the myth of racial superiority abounds, a myth that is particularly dangerous. Adolf Hitler, for example, believed that the Aryans were a superior race, destined to establish an advanced culture and a new world order. This destiny required them to avoid the “racial contamination” that would come from breeding with inferior races. The Aryans, then, had a “cultural duty” to isolate or destroy races that threatened their racial purity and culture.

Put into practice, Hitler’s views left an appalling legacy—the Nazi slaughter of those they deemed inferior: Jews, Slavs, gypsies, homosexuals, and people with mental and physical disabilities. Horrific images of gas ovens and emaciated bodies stacked like cordwood have haunted the world’s nations. At Nuremberg, the Allies, flush with victory, put the top Nazis on trial, exposing their heinous deeds to a shocked world. Their public executions, everyone assumed, marked the end of such grisly acts.

Obviously, they didn’t. Fifty years later in Rwanda, in the summer of 1994, Hutus slaughtered about 800,000 Tutsis—mostly with machetes (Gettleman and Kron 2010). In the same decade, Serbs in Bosnia massacred Muslims, giving us a new term, *ethnic cleansing*. As these events sadly attest, **genocide**, the attempt to destroy a group of people because of their presumed race or ethnicity, remains alive and well. Although more recent killings are not accompanied by swastikas and gas ovens, the perpetrators’ goal is the same.

The Myth Continues. The *idea* of race, of course, is far from a myth. Firmly embedded in our culture, it is a powerful force in our everyday lives. That no race is superior and that even biologists cannot decide how people should be classified into races is not what counts. “I know what I see, and you can’t tell me any different” seems to be the common attitude. As was noted in Chapter 4, sociologists W. I. and D. S. Thomas (1928) observed, “If people define situations as real, they are real in their consequences.” In other words, people act on perceptions and beliefs, not facts. As a result, we will always have people like Hitler and, as illustrated in our opening vignette, officials like those in the U.S. Public Health Service who thought that it was fine to experiment with people whom they deemed inferior. While few people hold such extreme views, most people appear to be ethnocentric enough to believe that their own race is—at least just a little—superior to others.

Cultural Diversity in the United States

Tiger Woods: Mapping the Changing Ethnic Terrain

Tiger Woods, perhaps the top golfer of all time, calls himself *Cablinasian*. Woods invented this term as a boy to try to explain to himself just who he was—a combination of Caucasian, Black, Indian, and Asian (Leland and Beals 1997; Hall 2001). Woods wanted to embrace all sides of his family.

Like many of us, Tiger Woods' heritage is difficult to specify. Analysts who like to quantify ethnic heritage put Woods at one-quarter Thai, one-quarter Chinese, one-quarter white, an eighth Native American, and an eighth African American. From this chapter, you know how ridiculous such computations are, but the sociological question is why many people consider Tiger Woods an African American. The U.S. racial scene is indeed complex, but a good part of the reason is that Woods has dark skin and this is the label the media placed on him. The attitude seems to be "Everyone has to fit somewhere." And for Tiger Woods, the media chose African American.

The United States once had a firm "color line"—barriers between racial-ethnic groups that you didn't dare cross, especially in dating or marriage. This invisible barrier has broken down, and today such marriages are common (*Statistical Abstract* 2013:Table 60). Children born in these marriages have a difficult time figuring out how to classify themselves (Saulney 2011). To help them make an adjustment in college, some colleges have interracial student organizations.

As we enter unfamiliar ethnic terrain, our classifications are bursting at the seams. Here is how Kwame Anthony Appiah, of Harvard's Philosophy and Afro-American Studies Departments, described his situation:

"My mother is English; my father is Ghanaian. My sisters are married to a Nigerian and a Norwegian. I have nephews who range from blond-haired kids to very black kids. They are all first cousins. Now according to the American scheme of things, they're all black—even the guy with blond hair who skis in Oslo." (Wright 1994)

I marvel at what racial experts the U.S. census takers once were. When they took the national census, which is done every ten years, they looked at people and assigned them a race. At various points, the census contained these categories: mulatto, quadroon, octoroon, Negro, black, Mexican, white, Indian, Filipino, Japanese, Chinese, and Hindu. Quadroon (one-fourth black and three-fourths white) and octoroon (one-eighth black and seven-eighths white) proved too difficult



to "measure," and these categories were used only in 1890. Mulatto appeared in the 1850 census, and lasted until 1920. The Mexican government complained about Mexicans being treated as a race, and this category was used only in 1930. I don't know whose idea it was to make Hindu a race, but it lasted for three censuses, from 1920 to 1940 (Bean et al. 2004; Tafoya et al. 2005).

In the 2010 census, we were first asked to declare whether we were or were not "Spanish/Hispanic/Latino." After this, we were asked to check "one or more races" that we "consider ourselves to be." We could choose from White; Black, African American, or Negro; American Indian or Alaska Native; and Asian Indian, Chinese, Filipino, Japanese, Korean, Vietnamese, Native Hawaiian, Guamanian or Chamorro, or Samoan. There were boxes for Other Asian and Other Pacific Islander, with examples that listed Hmong, Pakistani, and Fijian as races. If these didn't do it, we could check a box called "Some Other Race" and then write whatever we wanted.

Perhaps the census should list Cablinasian, after all. We could also have ANGEL for African-Norwegian-German-English-Latino Americans, DEVIL for those of Danish-English-Vietnamese-Italian-Lebanese descent, and STUDENT for Swedish-Turkish-Uruguayan-Danish-English-Norwegian-Tibetan Americans. As you read farther in this chapter, you will see why these terms make as much sense as the categories we currently use.

For Your Consideration

➤ Just why do we count people by "race" anyway? Why not eliminate race from the U.S. census? (Race became a factor in 1790 during the first census. To determine the number of representatives from each state, a slave was counted as three-fifths of a person!) Why is race so important to some people? Perhaps you can use the materials in this chapter to answer these questions.



Tiger Woods as he answers questions at a news conference.

Down-to-Earth Sociology

Can a Plane Ride Change Your Race?

At the beginning of this text (page 20), I mentioned that common sense and sociology often differ. This is especially so when it comes to race. According to common sense, our racial classifications represent biological differences between people. Sociologists, in contrast, stress that what we call races are *social* classifications, not biological categories.

Sociologists point out that our “race” depends more on the society in which we live than on our biological characteristics. For example, the racial categories common in the United States are only one of numerous ways by which people around the world classify physical appearances. Although various groups use different categories, each group assumes that its categories are natural, merely a response to visible biology.

To better understand this essential sociological point—that race is more social than it is biological—consider this: In the United States, children born to the same parents are all of the same race. “What could be more natural?” Americans assume. But in Brazil, children born to the same parents may be of different races—if their appearances differ.

“What could be more natural?” assume Brazilians.

Consider how Americans usually classify a child born to a “black” mother and a “white” father. Why do they usually say that the child is “black”? Wouldn’t it be equally as logical to classify the child as “white”? Similarly, if a child has one grandmother who is “black,” but all her other ancestors are “white,” the child is often considered “black.” Yet she has much more “white blood” than “black blood.” Why, then, is she considered “black”? Certainly not because of biology.

Such thinking is a legacy of slavery. In an attempt to preserve the “purity” of their “race” in the face of the many

children whose fathers were white slave masters and whose mothers were black slaves, whites classified anyone with even a “drop of black blood” as black. They actually called this the “one-drop” rule.

Even a plane trip can change a person’s race. In the city of Salvador in Brazil, people classify one another by color of skin and eyes, breadth of nose and lips, and color and curliness of hair. They use at least seven terms for what we call white

and black. Consider again a U.S. child who has “white” and “black” parents. If she flies to Brazil, she is no longer “black”; she now belongs to one of their several “whiter” categories (Fish 1995).

If the girl makes such a flight, would her “race” actually change? Our common sense revolts at this, I know, but it actually would. We want to argue that because her biological characteristics remain unchanged, her race remains unchanged. This is because we think of race as biological, when race is actually a label we use to describe perceived biological characteristics. Simply put, the race we “are” depends on our social location—on who is doing the classifying.

“Racial” classifications are also fluid, not fixed. Even now, you can

see change occurring in U.S. classifications. The category “multiracial,” for example, indicates changing thought and perception.

For Your Consideration

- How would you explain to someone that race is more a social classification than a biological one? Can you come up with any arguments to refute this statement?
- How do you think our racial-ethnic categories will change in the future?



What “race” are these two Brazilians? Is the child’s “race” different from her mother’s “race”? The text explains why “race” is such an unreliable concept that it changes even with geography.

ethnicity (and **ethnic**) having distinctive cultural characteristics

Ethnic Groups

In contrast to *race*, which people use to refer to supposed biological characteristics that distinguish one group of people from another, **ethnicity** and **ethnic** refer to cultural characteristics. Derived from the word *ethnos* (a Greek word meaning “people” or “nation”), *ethnicity* and *ethnic* refer to people who identify with one another on the basis of common ancestry and cultural heritage. Their sense of belonging may center on their nation or region of origin, distinctive foods, clothing, language, music, religion, or family names and relationships.

People often confuse the terms *race* and *ethnic group*. For example, many people, including many Jews, consider Jews a race. Jews, however, are more properly



The reason I selected these photos is to illustrate how seriously we must take all preaching of hatred and of racial supremacy, even though it seems to come from harmless or even humorous sources. The strange-looking person with his hands on his hips, who is wearing *lederhosen*, traditional clothing of Bavaria, Germany, is Adolf Hitler. He caused this horrific carnage at the Landsberg concentration camp.

considered an ethnic group, since it is their cultural characteristics, especially their religion, that bind them together. Wherever Jews have lived in the world, they have intermarried. Consequently, Jews in China may have Chinese features, while some Swedish Jews are blue-eyed blonds. The confusion of race and ethnicity is illustrated in the photo on the next page.

Minority Groups and Dominant Groups

Sociologist Louis Wirth (1945) defined a **minority group** as people who are singled out for unequal treatment and who regard themselves as objects of collective discrimination. Worldwide, minorities share several conditions: Their physical or cultural traits are held in low esteem by the dominant group, which treats them unfairly, and they tend to marry within their own group (Wagley and Harris 1958). These conditions tend to create a sense of identity among minorities (a feeling of “we-ness”). In some instances, even a sense of common destiny emerges (Chandra 1993).

Not Size, But Dominance and Discrimination. Surprisingly, a minority group is not necessarily a *numerical* minority. For example, before India’s independence in 1947, a handful of British colonial rulers dominated tens of millions of Indians. Similarly, when South Africa practiced apartheid, a smaller group of Afrikaners, primarily Dutch, discriminated against a much larger number of blacks. And all over the world, as we discussed in the previous chapter, females are a minority group. Because of this, sociologists refer to those who do the discriminating not as the *majority* but, rather, as the **dominant group**. Regardless of its numbers, the dominant group has the greater power and privilege.

Possessing political power and unified by shared physical and cultural traits, the dominant group uses its position to discriminate against those with different—and supposedly inferior—traits. The dominant group considers its privileged position to be the result of its own innate superiority.

Emergence of Minority Groups. A group becomes a minority in one of two ways. The *first* is through the expansion of political boundaries. With the exception of females, tribal societies contain no minority groups. In them, everyone shares the same culture, including the same language, and belongs to the same group. When a group expands its political boundaries, however, it produces minority groups if it incorporates people with different customs, languages, values, or physical characteristics into

minority group people who are singled out for unequal treatment and who regard themselves as objects of collective discrimination

dominant group the group with the most power, greatest privileges, and highest social status



Assumptions of race-ethnicity can have unusual consequences. In this photo, Ethiopian Jews in Gondar, Ethiopia, are checking to see if they have been given a date to immigrate to Israel. Because Ethiopian Jews look so different from other Jews, it took Israeli authorities several years to acknowledge that the Ethiopian Jews were “real Jews” and allow them to immigrate.

ethnic work activities designed to discover, enhance, maintain, or transmit an ethnic or racial identity

the same political entity and discriminates against them. For example, in 1848, after defeating Mexico in war, the United States took over the Southwest. The Mexicans living there, who had been the dominant group prior to the war, were transformed into a minority group, a master status that has influenced their lives ever since. Referring to his ancestors, one Latino said, “We didn’t move across the border—the border moved across us.”

A *second* way in which a group becomes a minority is by migration. This can be voluntary, as with the Mexicans and South Americans who have chosen to move to the United States, or involuntary, as with the Africans who were brought in chains to the United States. (The way females became a minority group represents a third way, but, as discussed in the previous chapter, no one knows just how this occurred.)

Ethnic Work: Constructing Our Racial–Ethnic Identity

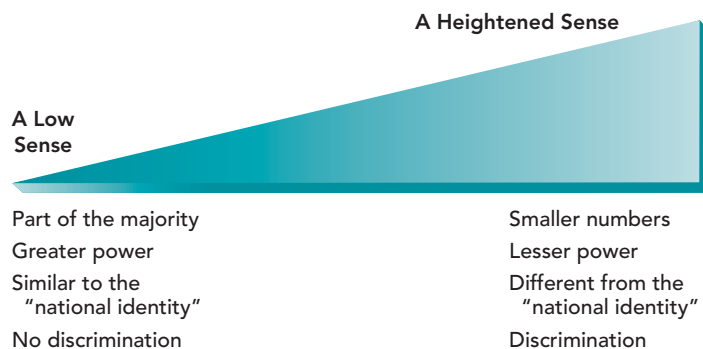
Some of us have a greater sense of ethnicity than others, and we feel firm boundaries between “us” and “them.” Others of us have assimilated so extensively into the mainstream culture that we are only vaguely aware of our ethnic origins. With interethnic marriage common, some do not even know the countries from which their families originated—nor do they care. If asked to identify themselves ethnically, they respond with something like “I’m Heinz 57—German and Irish, with a little Italian and French thrown in—and I think someone said something about being one-sixteenth Indian, too.”

Why do some people feel an intense sense of ethnic identity, while others feel hardly any? Figure 9.1 portrays four factors, identified by sociologist Ashley Doane, that heighten or reduce our sense of ethnic identity. From this figure, you can see that the keys are relative size, power, appearance, and discrimination. If your group is relatively small, has little power, looks different from most people in society, and is an object of discrimination, you will have a heightened sense of ethnic identity. In contrast, if you belong to the dominant group that holds most of the power, look like most people in the society, and feel no discrimination, you are likely to experience a sense of “belonging”—and to wonder why ethnic identity is such a big deal.

We can use the term **ethnic work** to refer to the way we construct our ethnicity. For people who have a strong ethnic identity, this term refers to how they enhance and maintain their group’s distinctions—from clothing, food, and language to religious practices and holidays. For people whose ethnic identity is not as firm, it refers to attempts to recover their ethnic heritage, such as trying to trace family lines or visiting the country or region of their family’s origin. As illustrated by the photo essay on the next page, many Americans do ethnic work. This has confounded the experts, who thought that the United States would be a *melting pot*, with most of its groups blending into a sort of ethnic stew. Because so many Americans have become fascinated with their “roots,” some analysts have suggested that “tossed salad” is a more appropriate term than “melting pot.”

FIGURE 9.1

A Sense of Ethnicity



Source: By the author. Based on Doane 1997.

Ethnic Work

Explorations in Cultural Identity

Ethnic work refers to the ways that people establish, maintain, and transmit their ethnic identity. As shown here, among the techniques people use to forge ties with their roots are dress, dance, and music.



As some groups do ethnic work, they produce a mythical long-lost heritage, as in this photo of "1500s Spanish" that I took in St. Augustine, Florida.



Many African Americans are trying to get in closer contact with their roots. To do this, some use musical performances. This photo was taken in Philadelphia, Pennsylvania.



Many European Americans are involved in ethnic work, attempting to maintain an identity more precise than "from Europe." These women of Czech ancestry are performing for a Czech community in a small town in Nebraska.




Many Native Americans have maintained continuous identity with their tribal roots. You can see the blending of cultures in this photo taken at the March Pow Wow in Denver, Colorado.

The Cinco de Mayo celebration is used to recall roots and renew ethnic identities. This one was held in Los Angeles, California.



9.2 Contrast prejudice and discrimination and individual and institutional discrimination; discuss learning prejudice, internalizing dominant norms, and institutional discrimination.

 **Watch on MySocLab**
Video: Racial Stereotypes and Discrimination

discrimination an act of unfair treatment directed against an individual or a group

racism prejudice and discrimination on the basis of race

prejudice an attitude or prejudging, usually in a negative way

This photo, taken in Birmingham, Alabama, provides a glimpse into the determination and bravery of the civil rights demonstrators of the 1960s and the severe opposition they confronted.

Prejudice and Discrimination

With prejudice and discrimination so significant in social life, let's consider the origin of prejudice and the extent of discrimination.

Learning Prejudice

Distinguishing between Prejudice and Discrimination. Prejudice and discrimination are common throughout the world. In Mexico, Mexicans of Hispanic descent discriminate against Mexicans of Native American descent; in Israel, Ashkenazi Jews, primarily of European descent, discriminate against Sephardic Jews from the Middle East; in China, the Han and the Uighurs discriminate against each other. In some places, the elderly discriminate against the young; in others, the young discriminate against the elderly. And all around the world, men discriminate against women.

Discrimination is an *action*—unfair treatment directed against someone. Discrimination can be based on many characteristics: age, sex, height, weight, skin color, clothing, speech, income, education, marital status, sexual orientation, disease, disability, religion, and politics. When the basis of discrimination is someone's perception of race, it is known as **racism**. Discrimination is often the result of an *attitude* called **prejudice**—a prejudging of some sort, usually in a negative way. There is also *positive prejudice*, which exaggerates the virtues of a group, as when people think that some group is superior to others. Most prejudice, however, is negative and involves prejudging a group as inferior.

Learning Prejudice from Associating with Others. As with our other attitudes, we are not born with prejudice. Rather, we learn prejudice from the people around us. You probably know this, but here is a twist that sociologists have found. Michael Kimmel (2007), who interviewed neo-Nazi skinheads in Sweden, found that the young men were attracted mostly by the group's tough masculinity, not its hatred of immigrants. Kathleen Blee (2005, 2011), who interviewed female members of the Ku Klux Klan (KKK) and Aryan Nations in the United States, found something similar. They were attracted to the hate group because someone they liked belonged to it. They learned to be racists *after* they joined the group. Both Blee and Kimmel found that the members' racism was not the *cause* of their joining but, rather, joining was the cause of their racism.

Just as our associations can increase prejudice, so they can reduce prejudice, the topic of our Down-to-Earth Sociology box on the next page.



Down-to-Earth Sociology

Living in the Dorm: Contact Theory

From your own experience, you know that friends influence one another. Much of this influence comes from talking. As friends talk about their experiences and share their ideas, they help give shape to one another's views of life.

It is no different for friends who are from different racial-ethnic groups. As they interact with one another, their understandings change and their perspectives broaden.

Over time, if they cannot see the world through each other's eyes, they at least get a glimpse of what that world looks like.

If one of the goals of college is to increase students' understanding of the world and change their attitudes while helping to integrate racial-ethnic groups—and this is a big if—then why do some colleges have separate dorms for African American students, Jewish students, and so on? And when there aren't separate dorms, why do some colleges assign roommates so blacks will room with blacks and whites with whites?

The goal of such room assignments, of course, is to make minority students feel comfortable and help prevent them from feeling lost in a sea of white faces and suffering from *anomie*, feelings of not belonging.

These good intentions have an unanticipated result. As African American students interact in these "little corners" of the campus, their interracial friendships decrease. At the end of their freshman year in college, African American students have about 10 percent fewer interracial friends than when they began college. They are the only group to experience a decline in interracial-ethnic friendships.

What happens if colleges assign students of different racial-ethnic groups to the same dorm rooms? These students end up with more interracial friendships than those who have roommates of their own race-ethnicity.

On the negative side, these mixed pairing arrangements are more likely to fail. About 17 percent end during the school year, compared to 10 percent of white-white pairings and 9 percent of black-black pairings. The dissatisfactions cut both ways, with blacks and whites requesting transfers at about the same rate.

But note that the vast majority of these interracial pairings last. They don't always blossom into friendships, of course, and like other roommate assignments, some roommates can barely tolerate one another. But contacts and cross-racial friendships do increase in most cases, changing understandings and perspectives. We need in-depth research to uncover who is changed in what ways.

To summarize the sociological research: Mutual understandings increase, prejudice decreases, and relations improve when people of different racial-ethnic backgrounds interact frequently

and work toward mutual goals with equal status. The shorthand for these findings is **contact theory**.

Source: Based on Riley 2009.



Contact theory indicates that prejudice decreases and relations improve when individuals of different racial-ethnic backgrounds who are of equal status interact frequently. These two freshmen are roommates at DePaul University in Chicago.

For Your Consideration

➔ Do you think colleges should eliminate racially and ethnically themed dormitories? What is your opinion about colleges assigning students of different racial-ethnic groups to the same dorm rooms?

The Far-Reaching Nature of Prejudice. It is amazing how much prejudice people can learn. In a classic article, psychologist Eugene Hartley (1946) asked people how they felt about several racial-ethnic groups. Besides Negroes, Jews, and so on, he included the Wallonians, Pireneans, and Danireans—names he had made up. Most people who expressed dislike for Jews and Negroes showed similar contempt for these three fictitious groups.

Hartley's study shows that prejudice does not depend on negative experiences with others. It also reveals that people who are prejudiced against one racial or ethnic group also tend to be prejudiced against other groups. People can be, and are, prejudiced against people they have never met—and even against groups that do not exist!

The neo-Nazis and the KKK base their existence on prejudice. These groups believe that race is real, that white is best, and that beneath society's surface is a murky river of

contact theory the idea that prejudice and negative stereotypes decrease and racial-ethnic relations improve when people from different racial-ethnic backgrounds, who are of equal status, interact frequently

mingling conspiracies (Ezekiel 1995). What would happen if a Jew attended their meetings? Would he or she survive? In the Down-to-Earth Sociology box below, sociologist Raphael Ezekiel reveals some of the insights he gained during his remarkable study of these groups.

Internalizing Dominant Norms. People can even learn to be prejudiced against their own group. A national survey found that African Americans think that lighter-skinned African American women are more attractive than those with darker skin (Hill 2002). Participant observation in the inner city also reveals a preference for lighter skin (Jones 2010). Sociologists call this *internalizing the norms of the dominant group*.

To study the internalization of dominant norms, psychologists Mahzarin Banaji and Anthony Greenwald created the *Implicit Association Test*. In one version of this test,

Down-to-Earth Sociology

The Racist Mind

Sociologist Raphael Ezekiel wanted to get a close look at the racist mind. The best way to study racism from the inside is to do participant observation (see page 27). But Ezekiel is a Jew. Could he study these groups by participant observation? To find out, Ezekiel told Ku Klux Klan (KKK) and neo-Nazi leaders that he wanted to interview them and attend their meetings. He also told them that he was a Jew. Surprisingly, they agreed. Ezekiel published his path-breaking research in a book, *The Racist Mind* (1995). Here are some of the insights he gained during his fascinating sociological adventure:

[The leader] builds on mass anxiety about economic insecurity and on popular tendencies to see an Establishment as the cause of economic threat; he hopes to teach people to identify that Establishment as the puppets of a conspiracy of Jews. . . . [He has a] belief in exclusive categories. For the white racist leader, it is profoundly true . . . that the socially defined collections we call races represent fundamental categories. A man is black or a man is white; there are no in-betweens. Every human belongs to a racial category, and all the members of one category are radically different from all the members of other categories. Moreover, race represents the essence of the person. A truck is a truck, a car is a car, a cat is a cat, a dog is a dog, a black is a black, a white is a white. . . . These axioms have a rock-hard quality in the leaders' minds; the world is made up of racial groups. That is what exists for them.

Two further beliefs play a major role in the minds of leaders. First, life is war. The world is made of distinct racial groups; life is about the war between these groups. Second, events have secret causes, are never what they seem superficially. . . . Any myth is plausible, as long as it involves intricate plotting. . . . It does not matter to him what others say. . . . He lives in his ideas and in the little world he has created where they are taken seriously. . . . Gold can be made from the tongues

of frogs; Yahweh's call can be heard in the flapping swastika banner. (pp. 66–67)

Who is attracted to the neo-Nazis and KKK? Here is what Ezekiel discovered:

[There is a] ready pool of whites who will respond to the racist signal. . . . This population [is] always hungry for activity—or for the talk of activity—that promises dignity and meaning to lives that are working poorly in a highly competitive world. . . . Much as I don't want to believe it, [this] movement brings a sense of meaning—at least for a while—to some of the discontented. To struggle in a cause that transcends the individual lends meaning to life, no matter how ill-founded or narrowing the cause. For the young men in the neo-Nazi group . . . membership was an alternative to atomization and drift; within the group they worked for a cause and took direct risks in the company of comrades. . . .

When interviewing the young neo-Nazis in Detroit, I often found myself driving with them past the closed factories, the idled plants of our shrinking manufacturing base. The fewer and fewer plants that remain can demand better educated and more highly skilled workers. These fatherless Nazi youths, these high-school dropouts, will find little place in the emerging economy . . . a permanently underemployed white underclass is taking its place alongside the permanent black underclass. The struggle over race merely diverts youth from confronting the real issues of their lives. Not many seats are left on the train, and the train is leaving the station. (pp. 32–33)



Raphael Ezekiel

For Your Consideration

➔ Use functionalism, conflict theory, and symbolic interaction to explain how the leaders and followers of these hate groups view the world. Use these same perspectives to explain why some people are attracted to the message of hate.

good and bad words are flashed on a screen along with photos of African Americans and whites (Blair et al. 2013). Most subjects are quicker to associate positive words (such as “love,” “peace,” and “baby”) with whites and negative words (such as “cancer,” “bomb,” and “devil”) with blacks. Here’s the clincher: This is true for *both* whites and blacks (Dasgupta et al. 2000; Greenwald and Krieger 2006). Apparently, we all learn the *ethnic maps* of our culture and, along with them, their route to biased perception.

Individual and Institutional Discrimination

Sociologists stress that we should move beyond thinking in terms of **individual discrimination**, the negative treatment of one person by another. Although such behavior creates problems, it is primarily an issue between individuals. With their focus on the broader picture, sociologists encourage us to examine **institutional discrimination**, that is, to see how discrimination is woven into the fabric of society. Let’s look at two examples.

Home Mortgages. Bank lending provides an excellent illustration of institutional discrimination (Ropiequet et al. 2012). Earlier studies using national samples showed that bankers were more likely to reject the loan applications of minorities. When bankers defended themselves by saying that whites had better credit history, researchers retested their data. They found that even when applicants had identical credit, African Americans and Latinos were *60 percent* more likely to be rejected (Thomas 1991, 1992). Look at Figure 9.2 below. You can see that *minorities are still more likely to be turned down for a loan—whether their incomes are below or above the median income of their community.*

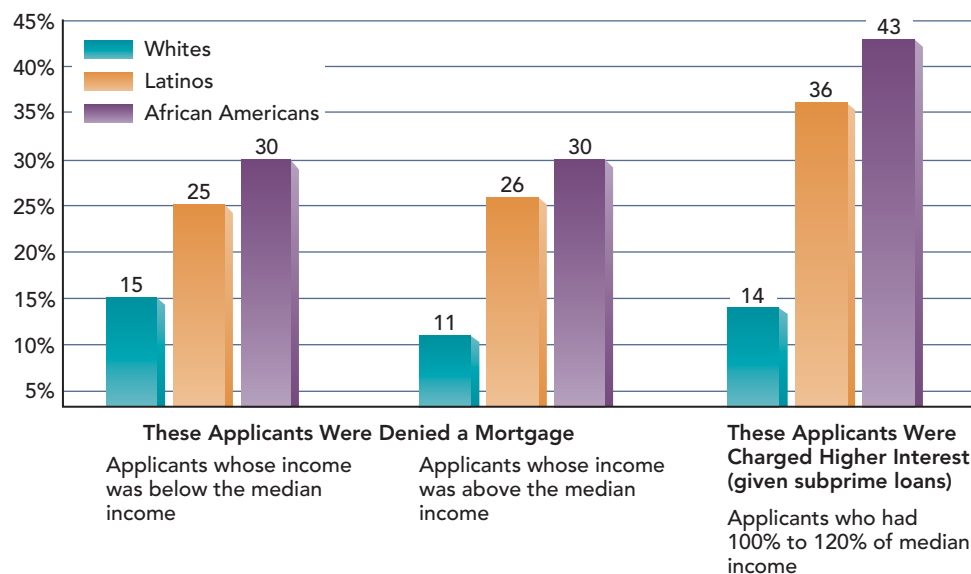
In the Great Recession that we have suffered through, African Americans and Latinos were hit harder than whites. The last set of bars on Figure 9.2 shows one of the reasons for this: *Banks purposely charged minorities higher interest rates, a practice called predatory lending.* The results were devastating. When the economic crisis hit, many African



Watch on MySocLab
Video: Race and Ethnicity:
The Basics

FIGURE 9.2 Buying a House: Institutional Discrimination and Predatory Lending

This figure, based on a national sample, illustrates *institutional discrimination*. Rejecting the loan applications of minorities and gouging them with higher interest rates are a nationwide practice, not the acts of a rogue banker here or there. Because the discrimination is part of the banking system, it is also called *systemic discrimination*.



Source: By the author. Based on Kochbar and Gonzalez-Barrera 2009.

individual discrimination person-to-person or face-to-face discrimination; the negative treatment of people by other individuals

institutional discrimination negative treatment of a minority group that is built into a society's institutions; also called *systemic discrimination*

Americans and Latinos who could have continued to make their house payments if they had the lower interest rates lost their homes (Ropiequet et al. 2012).

Would nice bankers really do predatory lending? After checking data like these, the Justice Department accused Countrywide Financial, a major mortgage lender, of discriminating against 200,000 Latino and African American borrowers. Countrywide agreed to pay a fine of \$335 million, the largest fair-lending settlement in history (Savage 2011).

Health Care. Losing your home is devastating. Losing your mother or baby is even worse. Look at Table 9.1. You can see that institutional discrimination can be a life-and-death matter. In childbirth, African American mothers are almost *three* times as likely to die as white mothers, while their babies are more than *twice* as likely to die during their first year of life. This is not a matter of biology, as though African American mothers and children are more fragile. It is a matter of *social* conditions, primarily nutrition and medical care.

TABLE 9.1 Health and Race–Ethnicity				
	Infant Deaths ¹	Maternal Deaths ¹	Life Expectancy	
			Male	Female
Whites	5.5	10.0	75.9	80.8
African Americans	12.7	26.5	70.9	77.4

¹The death rates given here are the number per 1,000. Infant deaths refer to the number of infants under 1 year old who die in a year per 1,000 live births. The source does not provide data for other racial–ethnic groups. Source: By the author. Based on *Statistical Abstract of the United States 2013*: Tables 110, 118.

Discrimination is not always deliberate. In some *unintentional discrimination*, no one is aware of it—neither those being discriminated against nor those doing the discriminating (Harris et al. 2011). Researchers studied the race–ethnicity of people who receive knee replacements and coronary bypass surgery. They found that white patients are more likely than Latino or African American patients to receive these procedures (Skinner et al. 2003; Popescu 2007). They found a similar pattern in treatment after a heart attack: Whites are more likely than blacks to be given cardiac catheterization, a test to detect blockage of blood vessels. This study of 40,000 patients held a surprise: Both black and white doctors are more likely to give this preventive care to whites (Stolberg 2001).

Researchers do not know why race–ethnicity is a factor in medical decisions. With both white and black doctors involved, we can be certain that physicians do not *intend* to discriminate. Apparently, the implicit bias that comes with the internalization of dominant norms becomes a subconscious motivation for giving or denying access to advanced medical procedures. Race seems to work like gender: Just as women’s higher death rates in coronary bypass surgery can be traced to implicit attitudes about gender (see pages 304–305), so also race–ethnicity becomes a subconscious motivation for giving or denying access to advanced medical procedures (Blair et al. 2013).

9.3

Contrast psychological and sociological theories of prejudice: include functionalism, conflict, and symbolic interactionism.



Read on MySocLab

Document: Color-Blind Privilege: The Social and Political Functions of Erasing the Color Line in Post-Race America

Theories of Prejudice

Social scientists have developed several theories to explain prejudice. Let’s first look at psychological explanations, then at sociological ones.

Psychological Perspectives

Frustration and Scapegoats.

“Why are we having a depression? The answer is simple. The Jews have taken over the banking system, and they want to suck every dollar out of us.”

This was a common sentiment in Germany in the 1930s during the deep depression that helped bring Hitler to power. People often unfairly blame their troubles on a **scapegoat**—often a racial-ethnic or religious minority. Why do they do this? Psychologist John Dollard (1939) suggested that prejudice is the result of frustration. People who are unable to strike out at the real source of their frustration (such as unemployment) look for someone to blame. This person or group becomes a target on which they vent their frustrations. Gender and age are also common targets of scapegoating. So are immigrants.

Prejudice and frustration often are related. A team of psychologists led by Emory Cowen (1959) measured the prejudice of a group of students. They then gave the students two puzzles to solve, making sure the students did not have enough time to finish. After the students had worked furiously on the puzzles, the experimenters shook their heads in disgust and expressed disbelief that the students couldn't complete such a simple task. They then retested the students. The results? Their scores on prejudice increased. The students had directed their frustrations outward, transferring them to people who had nothing to do with the contempt they had experienced.

The Authoritarian Personality.

“I don’t like Swedes. They’re too rigid. And I don’t like the Italians. They’re always talking with their hands. I don’t like the Walloneans, either. They’re always smiling at something. And I don’t like librarians. And my job sucks. Hitler might have had his faults, but he put people to work during the Great Depression.”

Have you ever wondered whether some people’s personalities make them more inclined to be prejudiced, and others more fair-minded? For psychologist Theodor Adorno, who had fled from the Nazis, this was no idle speculation. With the horrors he had observed still fresh in his mind, Adorno wondered whether there might be a certain type of person who is more likely to fall for the racist spewings of people like Hitler, Mussolini, and those in the KKK.

To find out, Adorno gave three tests to about two thousand people, ranging from college professors to prison inmates (Adorno et al. 1950). He measured their ethnocentrism, anti-Semitism (bias against Jews), and support for strong, authoritarian leaders. People who scored high on one test also scored high on the other two. For example, people who agreed with anti-Semitic statements also said that governments should be authoritarian and that foreign customs pose a threat to the “American” way.

Adorno concluded that highly prejudiced people have deep respect for authority and are submissive to authority figures. He termed this the **authoritarian personality**. These people believe that things are either right or wrong. Ambiguity disturbs them, especially in matters of religion or sex. They become anxious when they confront norms and values that are different from their own. To view people who differ from themselves as inferior assures them that their own positions are right.

Adorno’s research stimulated more than a thousand research studies. In general, the researchers found that people who are older, less educated, less intelligent, and from a lower social class are more likely to be authoritarian. Critics say that this doesn’t indicate a particular personality, just that the less educated are more prejudiced—which we already knew (Yinger 1965; Ray 1991). Nevertheless, researchers continue to study this concept (Solt 2012).

Sociological Perspectives

Sociologists find psychological explanations inadequate. They stress that the key to understanding prejudice cannot be found by looking *inside* people but, rather, by examining conditions *outside* them. For this reason, sociologists focus on how social environments influence prejudice. With this background, let’s compare functionalist, conflict, and symbolic interactionist perspectives on prejudice.

Functionalism.

In a television documentary, journalist Bill Moyers interviewed Fritz Hippler, a Nazi who at age 29 was put in charge of the entire German film industry. When Hitler came

scapegoat an individual or group unfairly blamed for someone else’s troubles

authoritarian personality Theodor Adorno’s term for people who are prejudiced and rank high on scales of conformity, intolerance, insecurity, respect for authority, and submissiveness to superiors

to power, Hippler said, the Germans were no more anti-Semitic than the French. Hippler was told to increase anti-Semitism in Germany. Obediently, he produced movies that contained vivid scenes comparing Jews to rats—with their breeding threatening to infest the population.

Why was Hippler told to create hatred? Prejudice and discrimination were functional for the Nazis. Defeated in World War I and devastated by fines levied by the victors, Germany was on its knees. Runaway inflation was destroying its middle class. To help unite this fractured Germany, the Nazis created a scapegoat to blame for their troubles. In addition, the Jews owned businesses, bank accounts, fine art, and other property that the Nazis could confiscate. Jews also held key positions (as university professors, reporters, judges, and so on), which the Nazis could give as prizes to their followers. In the end, hatred also showed its dysfunctional face, as the Nazi officials hanged at Nuremberg discovered.

Prejudice becomes practically irresistible when state machinery is used to advance the cause of hatred. To produce prejudice, the Nazis harnessed government agencies, the schools, police, courts, and mass media. The results were devastating. Recall the identical twins featured in the Down-to-Earth Sociology box on page 67. Jack and Oskar had been separated as babies. Jack was brought up as a Jew in Trinidad, while Oskar was reared as a Catholic in Czechoslovakia. Under the Nazi regime, Oskar learned to hate Jews, unaware that he himself was a Jew.

That prejudice is functional and is shaped by the social environment was demonstrated by psychologists Muzafer and Carolyn Sherif (1953). In a boys' summer camp, the Sherifs assigned friends to different cabins and then had the cabin groups compete in sports. In just a few days, strong in-groups had formed. Even lifelong friends began to taunt one another, calling each other "crybaby" and "sissy."

The Sherif study teaches us important lessons about social life. Note how it is possible to arrange the social environment to generate either positive or negative feelings about people, and how prejudice arises if we pit groups against one another in an "I win, you lose" situation. You can also see that prejudice is functional, how it creates in-group solidarity. And, of course, it is obvious how dysfunctional prejudice is, when you observe the way it destroys human relationships.

Conflict Theory.

"The Japanese have gone on strike? They're demanding a raise? And they even want a rest period? We'll show them who's boss. Hire those Koreans who keep asking for work."

This did happen. When Japanese workers in Hawaii struck, owners of plantations hired Koreans (Jeong and You 2008). The division of workers along racial-ethnic and gender lines is known as a **split labor market** (Du Bois 1935/1992; Alimahomed-Wilson 2012). Although today's exploitation of these divisions is more subtle, whites are aware that other racial-ethnic groups are ready to take their jobs, African Americans often perceive Latinos as competitors (Glanton 2013), and men know that women are eager to get promoted. All of this helps to keep workers in line.

Conflict theorists, as you will recall, focus on how groups compete for scarce resources. Owners want to increase profits by holding costs down, while workers want better food, health care, housing, education, and leisure. Divided, workers are weak, but united, they gain strength. The *split labor market* is one way that owners divide workers so they can't take united action to demand higher wages and better working conditions.

Another tactic that owners use is the **reserve labor force**. This is simply another term for the unemployed. To expand production during economic booms, companies hire people who don't have jobs. When the economy contracts, they lay off unneeded workers. That there are desperate people looking for work is a lesson not lost on those who have jobs. They fear eviction and worry about having their cars and furniture repossessed. Many know they are just one or two paychecks away from ending up "on the streets."

Just like the boys in the Sherif experiment, African Americans, Latinos, whites, and others see themselves as able to make gains only at the expense of other groups.

split labor market workers split along racial-ethnic, gender, age, or any other lines; this split is exploited by owners to weaken the bargaining power of workers

reserve labor force the unemployed; unemployed workers are thought of as being "in reserve"—capitalists take them "out of reserve" (put them back to work) during times of high production and then put them "back in reserve" (lay them off) when they are no longer needed

Sometimes this rivalry shows up along very fine racial–ethnic lines, such as that in Miami between Haitians and African Americans, who distrust each other as competitors. Divisions among workers deflect anger and hostility away from the power elite and direct these powerful emotions toward other racial–ethnic groups. Instead of recognizing their common class interests and working for their mutual welfare, workers learn to fear and distrust one another.

Symbolic Interactionism.

“I know her qualifications are good, but yikes! She’s ugly. I don’t want to have to look at her every day. Let’s hire the one with the nice curves.”

While conflict theorists focus on the role of the owner (or capitalist) class in exploiting racial–ethnic divisions, symbolic interactionists examine how labels affect perception and create prejudice.

How Labels Create Prejudice. Symbolic interactionists stress that *the labels we learn affect the ways we perceive people*. Labels create **selective perception**; that is, they lead us to see certain things while they blind us to others. If we apply a label to a group, we tend to perceive its members as all alike. We shake off evidence that doesn’t fit (Simpson and Yinger 1972; Drakulich 2012). Shorthand for emotionally charged stereotypes, some racial–ethnic labels are especially powerful. As you know, the term *nigger* is not neutral. Nor are *cracker*, *dago*, *guinea*, *honky*, *kike*, *kraut*, *limex*, *mick*, *spic*, or any of the other scornful words people use to belittle other groups. As in the statement above, *ugly* can work in a similar way. Such words overpower us with emotions, blocking out rational thought about the people to whom they refer (Allport 1954).

Labels and Self-Fulfilling Stereotypes. Some stereotypes not only justify prejudice and discrimination but also produce the behavior depicted in the stereotype. We examined this principle in Chapter 4 in the box on beauty (page 113). Let’s consider Group X. According to stereotypes, the members of this group are lazy, so they don’t deserve good jobs. (“They are lazy and wouldn’t do the job well.”) Denied the better jobs, most members of Group X do “dirty work,” the jobs few people want. (“That’s the right kind of work for that kind of people.”) Since much “dirty work” is sporadic, members of Group X are often seen “on the streets.” The sight of their idleness reinforces the original stereotype of laziness. The discrimination that created the “laziness” in the first place passes unnoticed.

To apply these three theoretical perspectives and catch a glimpse of how amazingly different things were in the past, read the Down-to-Earth Sociology box on the next page.

Global Patterns of Intergroup Relations

In their studies of racial–ethnic relations around the world, sociologists have found six basic ways that dominant groups treat minority groups. These patterns are shown in Figure 9.3 on page 269. Let’s look at each.

Genocide

When gold was discovered in northern California in 1849, the fabled “Forty-Niners” rushed in. In this region lived 150,000 Native Americans. To get rid of them, the white government put a bounty on their heads. It even reimbursed the whites for their bullets. The result was the slaughter of 120,000 Native American men, women, and children. (Schaefer 2004)

Could you ever participate in genocide? Don’t be too quick in answering. Gaining an understanding of how ordinary people take part in genocide will be our primary goal in this section. In the events depicted in the little vignette above, those who did the killing were regular people—people like you and me. The killing was promoted by calling

9.4 Explain genocide, population transfer, internal colonialism, segregation, assimilation, and multiculturalism.

selective perception seeing certain features of an object or situation, but remaining blind to others

Down-to-Earth Sociology

The Man in the Zoo

The Bronx Zoo in New York City used to keep a 22-year-old pygmy in the Monkey House. The man—and the orangutan he lived with—became the most popular exhibit at the zoo. Thousands of visitors would arrive daily and head straight for the Monkey House. Eyewitnesses to what they thought was a lower form of human in the long chain of evolution, the visitors were fascinated by the pygmy, especially by his sharpened teeth.

To make the exhibit even more alluring, the zoo director had animal bones scattered in front of the man.

I know it sounds as though I must have made this up, but this is a true story. The World's Fair was going to be held in St. Louis in 1904, and the Department of Anthropology wanted to show villages from different cultures. They asked Samuel Verner, an explorer, if he could bring some pygmies to St. Louis to serve as live exhibits. Verner agreed, and on his next trip to Africa, in the Belgian Congo, he came across Ota Benga (or Otabenga), a pygmy who had been enslaved by another tribe. Benga, then about age 20, said he was willing to go to St. Louis. After Verner bought Benga's freedom for some cloth and salt, Benga recruited another half dozen pygmies to go with them.

After the World's Fair, Verner took the pygmies back to Africa. When Benga found out that a hostile tribe had wiped out his village and killed his family, he asked Verner if he could return with him to the United States. Verner agreed.

When they returned to New York, Verner ran into financial trouble and wrote some bad checks. No longer able to care for Benga, Verner left him with friends at the American Museum of Natural History. After a few weeks, they grew tired of Benga's antics and turned him over to the Bronx Zoo. The zoo officials put Benga on display in the Monkey House, with this sign:

The African Pygmy, 'Ota Benga.' Age 23 years. Height 4 feet 11 inches. Weight 103 pounds. Brought from the Kasai River, Congo Free State, South Central Africa by Dr. Samuel P. Verner. Exhibited each afternoon during September.

Exhibited with an orangutan, Benga became a sensation. An article in *The New York Times* said it was fortunate that

Benga couldn't think very deeply, or else living with monkeys might bother him.

When the Colored Baptist Ministers' Conference protested that exhibiting Benga was degrading, zoo officials replied that they were "taking excellent care of the little fellow." They added that "he has one of the best rooms at the primate house." (I wonder what animal had the best room.)

Not surprisingly, this reply didn't satisfy the ministers. When they continued to protest, zoo officials decided to let Benga out of his cage. They put a white shirt on him and let him walk around the zoo. At night, Benga slept in the monkey house.

Benga's life became even more miserable. Zoo visitors would follow him, howling, jeering, laughing, and poking at him. One day, Benga found a knife in the feeding room of the Monkey House and flourished it at the visitors. Unhappy zoo officials took the knife away.

Benga then made a little bow and some arrows and began shooting at the obnoxious visitors. This ended the fun for the zoo officials. They decided that Benga had to leave.

After living in several orphanages for African American children, Benga ended up working as a laborer in a tobacco factory in Lynchburg, Virginia.

Always treated as a freak, Benga was desperately lonely. In 1916, at about the age of 32, in despair that he had no home or family to return to in Africa, Benga ended his misery by shooting himself in the heart.

Source: Based on Bradford and Blume 1992; Crossen 2006; Richman 2006.



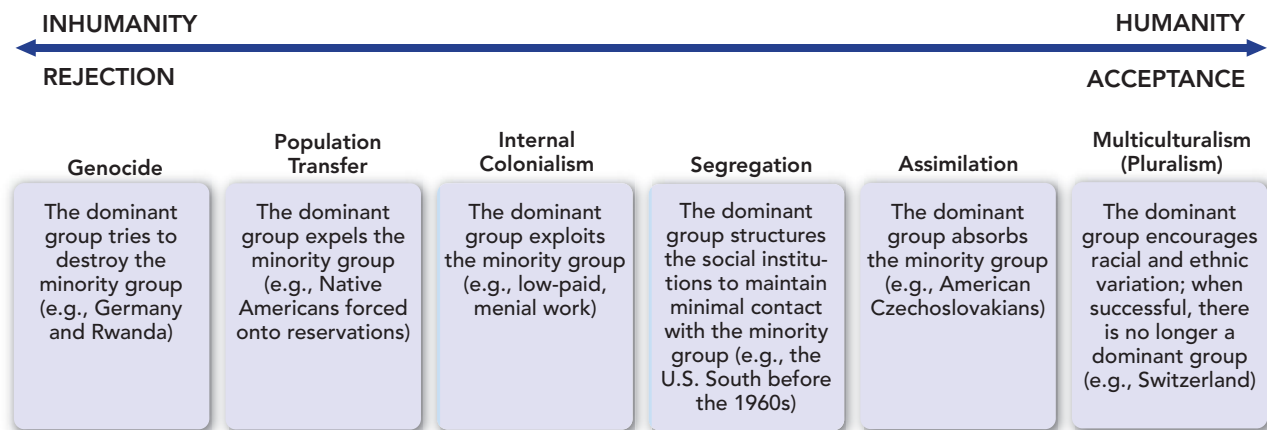
Ota Benga, 1906, on exhibit in the Bronx Zoo.

For Your Consideration

- 1. See what different views emerge as you apply the three theoretical perspectives (functionalism, symbolic interactionism, and conflict theory) to exhibiting Benga at the Bronx Zoo.
- 2. How does the concept of ethnocentrism apply to this event?
- 3. Explain how the concepts of prejudice and discrimination apply to what happened to Benga.

the Native Americans "savages," making them seem inferior, somehow less than human. Killing them, then, didn't seem the same as killing whites in order to take their property.

It is true that most Native Americans died not from bullets but from the diseases the whites brought with them. Measles, smallpox, and the flu came from another continent, and the Native Americans had no immunity against them (Dobyns 1983). But disease wasn't enough. To accomplish the takeover of the Native Americans' resources, the settlers and soldiers destroyed their food supply (crops and buffalo). From all causes,

FIGURE 9.3 Global Patterns of Intergroup Relations: A Continuum

Source: By the author.

about 95 percent of Native Americans died (Thornton 1987; Schaefer 2012). Ordinary, “good” people were intent on destroying the “savages.”

Now consider last century’s two most notorious examples of genocide. In Germany during the 1930s and 1940s, Hitler and the Nazis attempted to destroy all Jews. In the 1990s, in Rwanda, the Hutus tried to destroy all Tutsis. One of the horrifying aspects of these two slaughters is that the killers did not crawl out from under a rock someplace. In some cases, it was even the victims’ neighbors and friends who did the killing. *Their killing was facilitated by labels that marked the victims as enemies who deserved to die* (Huttenbach 1991; Browning 1993; Gross 2001).

In Sum: Labels are powerful; dehumanizing ones are even more so. They help people to **compartmentalize**—to separate their acts of cruelty from their sense of being good and decent people. To regard members of some group as inferior opens the door to treating them inhumanely. In some cases, these labels help people to kill—and to still retain a good self-concept (Bernard et al. 1971). In short, *labeling the targeted group as inferior or even less than fully human facilitates genocide*.

Population Transfer

There are two types of **population transfer**: indirect and direct. *Indirect transfer* is achieved by making life so miserable for members of a minority that they leave “voluntarily.” Under the bitter conditions of czarist Russia, for example, millions of Jews made this “choice.” *Direct transfer* occurs when a dominant group expels a minority. Examples include the U.S. government relocating Native Americans to reservations and transferring Americans of Japanese descent to internment camps during World War II.

In the 1990s, a combination of genocide and population transfer occurred in Bosnia and Kosovo, parts of the former Yugoslavia. A hatred nurtured for centuries had been kept under wraps by Tito’s iron-fisted rule from 1944 to 1980. After Tito’s death, these suppressed, smoldering hostilities soared to the surface, and Yugoslavia split into warring factions. When the Serbs gained power, Muslims rebelled and began guerilla warfare. The Serbs vented their hatred by what they termed **ethnic cleansing**: They terrorized villages with killing and rape, forcing survivors to flee in fear.

Internal Colonialism

In Chapter 7, the term *colonialism* was used to refer to one way that the Most Industrialized Nations exploit the Least Industrialized Nations (page 216). Conflict theorists use the term **internal colonialism** to describe how a country’s dominant group exploits

compartmentalize to separate acts from feelings or attitudes

population transfer the forced transfer of a minority group

ethnic cleansing a policy of eliminating a population; includes forcible expulsion and genocide

internal colonialism the policy of exploiting minority groups for economic gain



Amid fears that Japanese Americans were “enemies within” who would sabotage industrial and military installations on the West Coast, in the early days of World War II Japanese Americans were transferred to “relocation camps.” To make sure they didn’t get lost, the children were tagged like luggage.

This is one of two major examples of population transfer in the United States. The other is transferring Native Americans to reservations.

minority groups for its economic advantage. The dominant group manipulates the social institutions to suppress minorities and deny them full access to their society’s benefits. Slavery, reviewed in Chapter 7, is an extreme example of internal colonialism, as was the South African system of *apartheid*. Although the dominant Afrikaners despised the minority, they found its presence necessary. As Simpson and Yinger (1972) put it, who else would do the hard work?

Segregation

Internal colonialism is often accompanied by **segregation**—the separation of racial or ethnic groups. Segregation allows the dominant group to maintain social distance from the minority and yet to exploit their labor as cooks, cleaners, chauffeurs, nannies, farm workers, and so on. Even today, in some villages of India, an ethnic group, the Dalits (untouchables), is forbidden to use the village pump. Dalit women must walk long distances to streams or pumps outside of the village to fetch their water (author’s notes).

Do you recall from Chapter 7 (page 195) the account of *apartheid* in South Africa, where the beaches

were divided by racial groups? It was once like this in parts of the United States, too. In St. Augustine, Florida, Butler Beach was reserved for blacks, while the area’s many other beaches were for whites (author’s notes). Until the 1960s, in the U.S. South, by law, African Americans and whites had to stay in separate hotels, go to separate schools, and use separate bathrooms and even drinking fountains. In thirty-eight states, laws prohibited marriage between blacks and whites. The punishment for violating these marriage laws? Prison. The last law of this type was repealed in 1967 (Baars 2009).

Assimilation

Assimilation is the process by which a minority group is absorbed into the mainstream culture. There are two types. In *forced assimilation*, the dominant group refuses to allow the minority to practice its religion, to speak its language, or to follow its customs. Before the fall of the Soviet Union, for example, the dominant group, the Russians, required that Armenian children attend schools where they were taught in Russian. Armenians could celebrate only Russian holidays, not Armenian ones. *Permissible assimilation*, in contrast, allows the minority to adopt the dominant group’s patterns in its own way and at its own speed.

Multiculturalism (Pluralism)

A policy of **multiculturalism**, also called **pluralism**, permits or even encourages racial–ethnic variation. The minority groups are able to maintain their separate identities, yet participate freely in the country’s social institutions, from education to politics. Switzerland provides an outstanding example of multiculturalism. The Swiss population includes four ethnic groups: French, Italians, Germans, and Romansh. These groups have kept their own languages, and they live peacefully in political and economic unity. Multiculturalism has been so successful that none of these groups can properly be called a minority.

segregation the policy of keeping racial–ethnic groups apart

assimilation the process of being absorbed into the mainstream culture

multiculturalism (or pluralism) a policy that permits or encourages ethnic differences

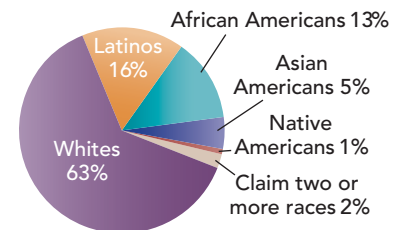
Racial–Ethnic Relations in the United States

Writing about race–ethnicity is like stepping onto a minefield: One never knows where to expect the next explosion. Serbian students have written to me, saying that I have been unfair to their group. So have American whites. Even basic terms are controversial. Some people classified as *African Americans* reject this term because they identify themselves as blacks. Similarly, some Latinos prefer the term *Hispanic American*, but others reject it, saying that it ignores the Native American side of their heritage. Some would limit the term *Chicanos*—commonly used to refer to Americans from Mexico—to those who have a sense of ethnic oppression and unity; they say that it does not apply to those who have assimilated.

No term that I use here, then, will satisfy everyone. Racial–ethnic identity is fluid, constantly changing, and all terms carry a risk as they take on politically charged meanings. Nevertheless, as part of everyday life, we classify ourselves and one another as belonging to distinct racial–ethnic groups. As Figures 9.4 and 9.5

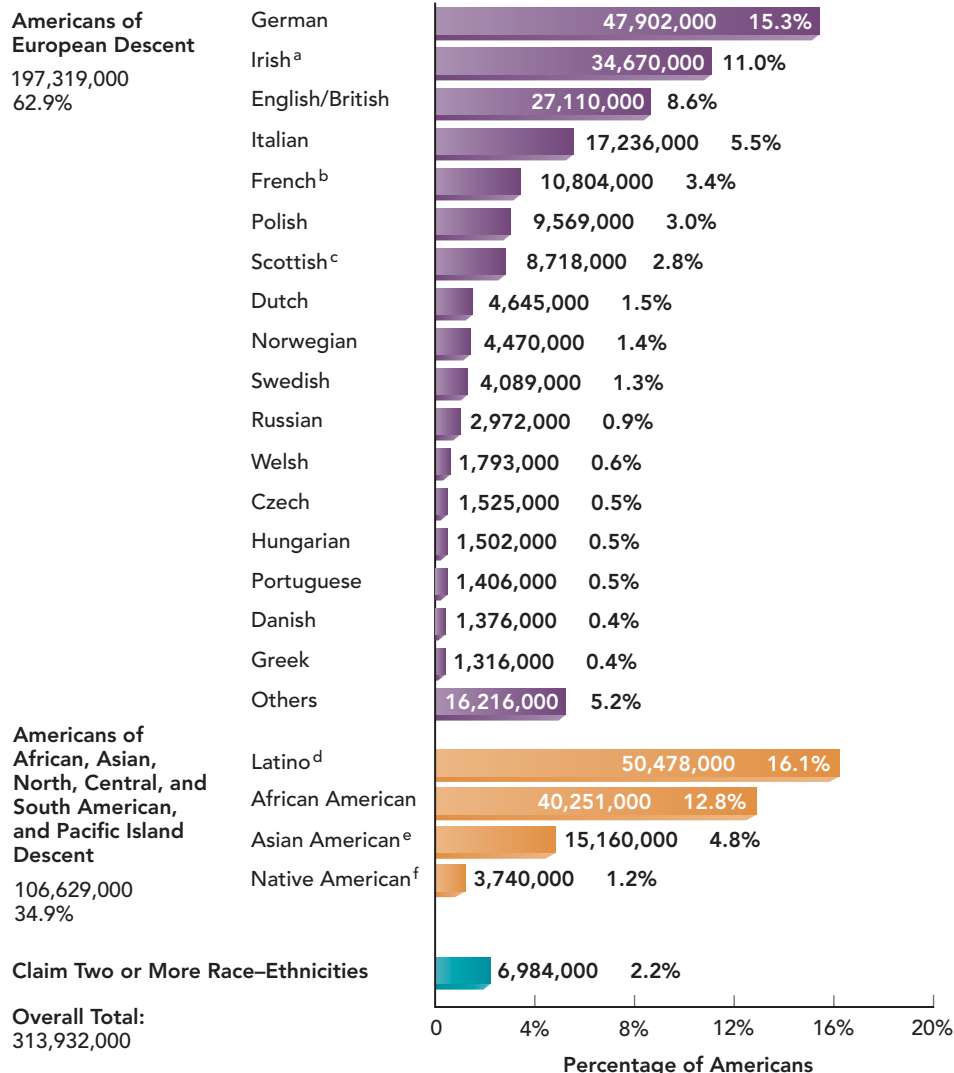
9.5 Summarize the major patterns that characterize European Americans, Latinos, African Americans, Asian Americans, and Native Americans.

FIGURE 9.4 Race–Ethnicity of the U.S. Population



Source: By the author. See Figure 9.5.

FIGURE 9.5 U.S. Racial–Ethnic Groups



^aInterestingly, this total is six times higher than all the Irish who live in Ireland.

^bIncludes French Canadian.

^cIncludes “Scottish–Irish.”

^dMost Latinos trace at least part of their ancestry to Europe.

^eIn descending order, the largest groups of Asian Americans are from China, the Philippines, India, Korea, Vietnam, and Japan. See Figure 9.9 on page 280. Also includes those who identify themselves as Native Hawaiian or Pacific Islander.

^fIncludes Native Alaskan.

Source: By the author. Based on *Statistical Abstract of the United States 2013*: Tables 10, 52.

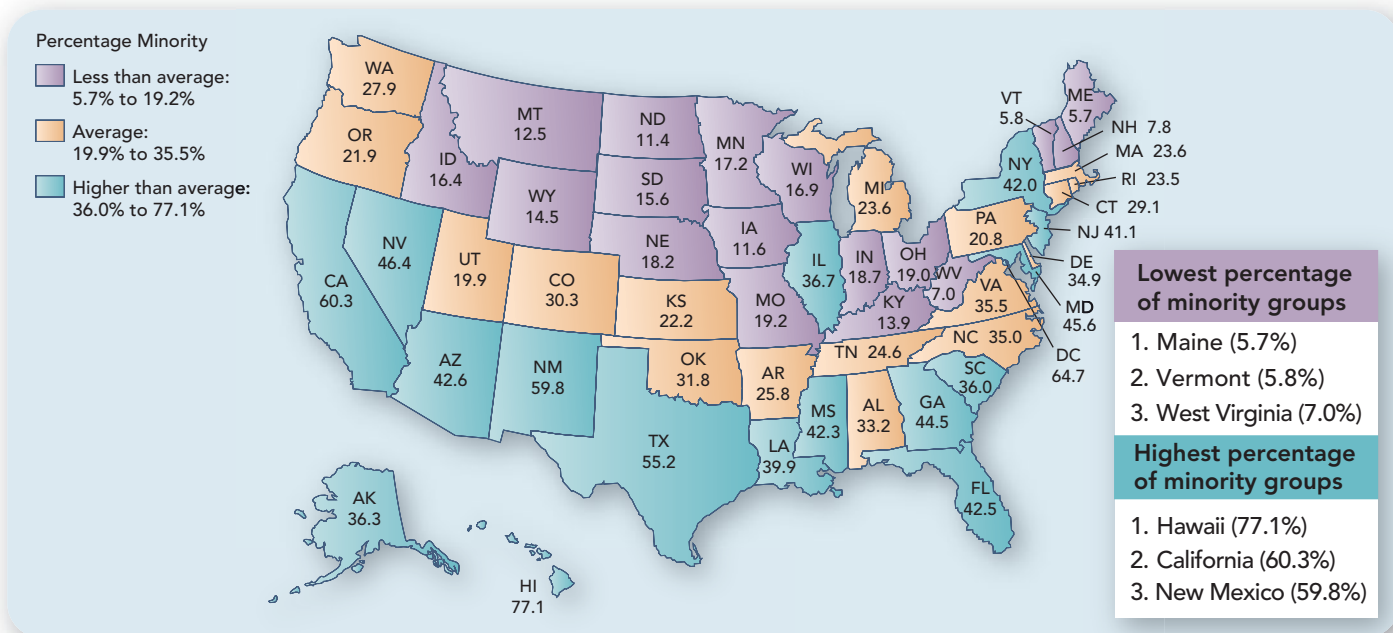


Explore on **MySocLab**

Activity: Diversity in American Society

USA—the land of diversity.



FIGURE 9.6 The Distribution of Dominant and Minority Groups

Source: By the author. Based on *Statistical Abstract of the United States* 2013:Table 18.

WASP white anglo saxon protestant

white ethnics white immigrants to the United States whose cultures differ from WASP culture

As immigrants assimilate into a new culture, they learn and adapt new customs. This photo was taken at the Arab International Festival in Dearborn, Michigan.



show, on the basis of self-identity, whites make up 63 percent of the U.S. population, minorities (African Americans, Asian Americans, Latinos, and Native Americans) 35 percent. About 2 percent claim membership in two or more racial-ethnic groups.

As you can see from the Social Map above, the distribution of dominant and minority groups among the states does not come close to the national average. This is because minority groups tend to be clustered in regions. The extreme distributions are found in Maine and Vermont, where whites outnumber minorities 19 to 1, and Hawaii, where minorities outnumber whites 3 to 1. With this as background, let's review the major groups in the United States, going from the largest to the smallest.

European Americans

Benjamin Franklin said, "Why should the Palatine boors (Germans) be suffered (allowed) to swarm into our settlements and by herding together establish their language and manners to the exclusion of ours? Why should Pennsylvania, founded by the English, become a colony of aliens, who will shortly be so numerous as to germanize us instead of our anglicizing them?" (in Alba and Nee 2003:17)

At the founding of the United States, White Anglo Saxon Protestants (**WASPs**) held deep prejudices against other whites. There was practically no end to their disdainful stereotypes of **white ethnics**—immigrants from Europe whose language and other customs differed from theirs. The English despised the Irish, viewing them as dirty, lazy drunkards, but they also painted Poles, Jews, Italians, and others with similar disparaging brushstrokes. From the quotation by Benjamin Franklin, you can see that they didn't like Germans either.

The political and cultural dominance of the WASPs placed intense pressure on immigrants to assimilate into the mainstream culture. The children of most immigrants embraced the new way of life and quickly came to think of themselves as Americans rather than as Germans, French, Hungarians, and so on. They dropped their distinctive customs, especially their languages, often viewing them as symbols of shame. This

second generation of immigrants was sandwiched between two worlds: “the old country” of their parents and their new home. Their children, the third generation, had an easier adjustment, since they had fewer customs to discard. As white ethnics assimilated into this Anglo-American culture, the meaning of WASP expanded to include them.

And for those who weren’t white? Perhaps the event that best illustrates the racial view of the nation’s founders occurred when Congress passed the Naturalization Act of 1790, declaring that only white immigrants could apply for citizenship. Relationships between the various racial–ethnic groups since the founding of the nation have been, at best, a rocky one.

In Sum: Because Protestant English immigrants settled the colonies, they established the culture—from the dominant language to the dominant religion. Highly ethnocentric, they regarded the customs of other groups as inferior. Because white Europeans took power, they determined the national agenda to which other ethnic groups had to react and conform. Their institutional and cultural dominance still sets the stage for current racial–ethnic relations, a topic that we explore in the Down-to-Earth Sociology box below.



Read on MySocLab

Document: Beyond the Melting Pot Reconsidered

Latinos (Hispanics)

Umbrella Term. *Latino* is an umbrella term that lumps people from many cultures into a single category. Taken together, these people, who trace their origins to the Spanish-speaking countries of Latin America, form the largest ethnic group in the United States.

Down-to-Earth Sociology

Unpacking the Invisible Knapsack: Exploring Cultural Privilege

Over racism in the United States has dropped sharply, but doors still open and close on the basis of the color of our skins. Whites have a difficult time grasping the idea that good things come their way because they are white. They usually fail to perceive how “whiteness” operates in their own lives.

Peggy McIntosh, of Irish descent, began to wonder why she was so seldom aware of her race–ethnicity, while her African American friends were so conscious of theirs. She realized that people are not highly aware of things that they take for granted—and that “whiteness” is a “taken-for-granted” background assumption of U.S. society. (You might want to review Figure 9.1 on page 258.) To explore this, she drew up a list of taken-for-granted privileges that come with her “whiteness,” what she calls her “invisible knapsack.” Because she is white, McIntosh (1988) says:

1. When I go shopping, store detectives don’t follow me.
2. If I don’t do well as a leader, I can be sure people won’t say that it is because of my race.



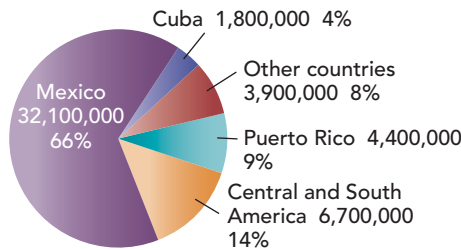
One of the cultural privileges of being white in the United States is less suspicion of wrongdoing.

3. When I watch television or look at the front page of the paper, I see people of my race presented positively.
4. When I study our national heritage, I see people of my color and am taught that they made our country great.
5. To protect my children, I do not have to teach them to be aware of racism.
6. I can talk with my mouth full and not have people put this down to my color.
7. I can speak at a public meeting without putting my race on trial.
8. I can achieve something and not be “a credit to my race.”
9. If a traffic cop pulls me over, I can be sure that it isn’t because I’m white.
10. I can be late to a meeting without people thinking I was late because “That’s how they are.”

For Your Consideration

➔ Can you think of other “background privileges” that come to whites because of their skin color? (McIntosh’s list contains forty-six items.) Why are whites seldom aware that they carry an “invisible knapsack”?

FIGURE 9.7 Geographical
Origins of U.S. Latinos



Source: By the author. Based on *Statistical Abstract of the United States* 2013:Table 37.

Few people who are classified as Latino, however, consider themselves to be part of a single ethnic group. Instead, they think of themselves as Americans of Mexican origin (*Mexicanos*), Americans of Cuban origin (*Cubanos*), Americans from Puerto Rico (*Puertoricanos*), and so on. Nor do most identify with the umbrella term *Hispanic*, another artificial grouping of peoples. It is also important to stress that neither *Latino* nor *Hispanic* refers to race. Latinos may identify themselves as African American, white, or Native American. Some even refer to themselves as *Afro Latino*.

Countries of Origin. As shown in Figure 9.7, about 32 million people trace their origin to Mexico, 7 million to Central and South America, 4 million to Puerto Rico, and 2 million to Cuba (*Statistical Abstract* 2013:Table 37). Although most Latinos of Mexican origin live in the Southwest, most Latinos from Puerto Rico live in New York City, and those from Cuba live primarily in Florida.

Unauthorized Immigrants. Officially tallied at 50 million, the number of Latinos in the United States is considerably higher than this. Although most Latinos are U.S. citizens, about 9 million have entered the country illegally (7 million from Mexico and 2 million from Central and South America) (*Statistical Abstract* 2013:Table 45). Although the economic crisis slowed the number of unauthorized immigrants (Jordan 2012), each year about 500,000 people are returned to Mexico or Central and South America (*Statistical Abstract* 2013:Table 541). Some come to the United States for temporary work and then return home. Most do not.

This massive unauthorized entry into the United States has aroused intense public concern. One reaction has been to open paths to citizenship or work permits. In 1986, the federal government passed the Immigration Reform and Control Act, which permitted unauthorized immigrants to apply for U.S. citizenship. Over 3 million people applied, the vast majority from Mexico (Espenshade 1990). In 2012, President Obama signed an Executive Order allowing work permits to unauthorized immigrants who are not over the age of 30, who arrived here before the age of 16, who are in school or are high school graduates, and who have no criminal record (Preston and Cushman 2012).

Another reaction has been to try to prevent illegal entry. The primary one is to check documents at entry points and to patrol the borders. A more unusual prevention measure was to start building a wall along the 2,000-mile border between Mexico and the United States. After building just 53 miles of the wall at the horrendous cost of \$1 billion, the wall was cancelled (Preston 2011). With many dissatisfied at the effectiveness of the U.S. Border Patrol, citizen groups have jumped in to offer their often unwelcome help. One group, the Minutemen, patrols the border, quite unofficially. Another group, the Techno Patriots, monitors the border by computers and thermal imaging cameras. When they confirm illegal crossings, they call the Border Patrol to make the arrests (Marino 2008).

Arizona, where many of the illegal crossings take place, gave still another response.

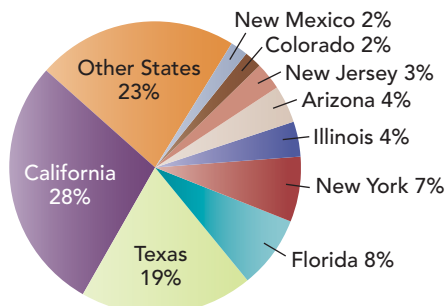
That state's legislature passed a law that gives its police the power to detain anyone suspected of being in the country illegally. When the law was reviewed by the U.S. Supreme Court, the justices threw out some aspects of it but upheld the state's right to check the immigration status of anyone they stop or arrest (Liptak 2012).

To gain insight into why this vast subterranean migration exists and will continue, see the Cultural Diversity box on the next page.

Residence. As Figure 9.8 shows, seven of every ten Latinos live in just six states—California, Texas, Florida, New York, Illinois, and Arizona. With its prominent Latino presence, Miami has been called “the capital of South America.”

Spanish. The factor that clearly distinguishes Latinos from other U.S. minorities is the Spanish language. Although not all Latinos speak Spanish, most do. About 37 million Latinos speak Spanish at

FIGURE 9.8 Where U.S. Latinos Live



Source: By the author. Based on *Statistical Abstract of the United States* 2013:Table 18.

Cultural Diversity in the United States

The Illegal Travel Guide

Manuel was a drinking buddy of José, a man I had met in Colima, Mexico. At 45, Manuel was friendly, outgoing, and enterprising.

Manuel, who had lived in the United States for seven years, spoke fluent English. Preferring to live in his hometown in Colima, where he palled around with his childhood friends, Manuel always seemed to have money and free time.

When Manuel invited me to go on a business trip with him, I accepted. I never could figure out what he did for a living or how he could afford a car, a luxury that none of his friends had. As we traveled from one remote village to another, Manuel would sell used clothing that he had heaped in the back of his older-model Ford station wagon.

At one stop, Manuel took me into a dirt-floored, thatched-roof hut. While chickens ran in and out, Manuel whispered to a slender man who was about 23 years old. The poverty was overwhelming. Juan, as his name turned out to be, had a partial grade school education. He also had a wife, four hungry children under the age of 5, and two pigs—his main food supply. Although eager to work, Juan had no job; there was simply no work available in this remote village.

As we were drinking a Coke, which seems to be the national beverage of Mexico's poor, Manuel explained to me that he was not only selling clothing—he was also lining up migrants to the United States. For a fee, he would take a man to the border and introduce him to a "wolf," who would help him cross into the promised land.

When I saw the hope in Juan's face, I knew nothing would stop him. He was borrowing every cent he could from every friend and relative to scrape the money together. Although he risked losing everything if apprehended and would be facing unknown risks, Juan would make the trip: Beckoning to him was a future with opportunity, perhaps even with wealth. He knew people who had been to the United States and spoke



glowingly of its opportunities. Manuel, of course, salesman that he was, stoked the fires of hope.

Looking up from the children playing on the dirt floor with chickens pecking about them, I saw a man who loved his family. In order to make the desperate bid

for a better life, he would suffer an enforced absence, as well as the uncertainties of a foreign culture whose language he did not know.

Juan opened his billfold, took something out, and slowly handed it to me. I looked at it curiously. I felt tears as I saw the tenderness with which he handled this piece of paper. It was his passport to the land of opportunity: a Social Security card made out in his name, sent by a friend who had already made the trip and who was

waiting for Juan on the other side of the border.

It was then that I realized that the thousands of Manuels scurrying about Mexico and the millions of Juans they are transporting can never be stopped, since only the United States can fulfill their dreams of a better life.



Crossing the border at Calexico, California.

For Your Consideration

- The vast stream of immigrants illegally crossing the Mexican–U.S. border has become a national issue. What do you think is the best way to deal with this issue? Why?
- How does your social location affect your view?

home (*Statistical Abstract* 2013:Table 53). Many cannot speak English or can do so only with difficulty. Being fluent only in Spanish in a society where English is spoken almost exclusively remains an obstacle.

Despite the 1848 Treaty of Hidalgo, which guarantees Mexicans the right to maintain their culture, from 1855 until 1968, California banned teaching in Spanish in school. In a 1974 decision (*Lau v. Nichols*), the U.S. Supreme Court ruled that using only English to teach Spanish-speaking students violated their civil rights. This decision paved the way for bilingual instruction for Spanish-speaking children (Vidal 1977; Lopez 1980).

TABLE 9.2 Indicators of Relative Economic Well-Being

	Family Income		Families In Poverty	
	Median Family Income	Percentage of White Income	Percentage Below Poverty	Compared to Whites
Whites	\$67,900		10.6%	
Asian Americans	\$76,700	113%	12.5%	18% higher
Latinos	\$41,100	61%	24.8%	233% higher
African Americans	\$39,900	59%	27.1%	256% higher
Native Americans	\$39,700	58%	28.4%	268% higher

Note: These totals are for families, which have less poverty than “persons,” the unit of the tables in Chapter 5.
Source: By the author. Based on *Statistical Abstract of the United States* 2013:Table 36.

The use of Spanish has provoked an “English-only” movement. Although the constitutional amendment that was proposed never got off the ground, thirty states have passed laws that declare English their official language (Newman et al. 2012).

Economic Well-Being. To see how Latinos are doing on major indicators of well-being, look at Table 9.2. Their family income averages only three-fifths that of whites, and they are more than twice as likely as whites to be poor. On the positive side, one of every eight Latino families has an income higher than \$100,000 a year.

From Table 9.3, you can see that Latinos are the most likely to drop out of high school and the least likely to graduate from college. In a postindustrial society that increasingly requires advanced skills, these totals indicate that huge numbers of Latinos are being left behind.

Politics. Because of their huge numbers, we might expect about 16 of the 100 U.S. senators to be Latino. How many are there? *Three*. In addition, Latinos hold only 7 percent of the

TABLE 9.3 Race–Ethnicity and Education

Racial–Ethnic Group	Education Completed				Doctorates		
	Less Than High School	High School	Some College	College (BA or Higher)	Number Awarded	Percentage of all U.S. Doctorates ¹	Percentage of U.S. Population
Whites	9.3%	29.3%	21.9%	31.4%	39,648	78.0%	62.9%
Latinos	37.8%	26.5%	17.2%	13.0%	2,540	5.0%	16.1%
Country or Area of Origin							
Cuba	NA ²	NA	NA	26.2%	NA	NA	0.6%
Puerto Rico	NA	NA	NA	17.5%	NA	NA	1.4%
Central and South America	NA	NA	NA	18.9%	NA	NA	2.2%
Mexico	NA	NA	NA	10.6%	NA	NA	10.4%
African Americans	18.1%	31.7%	24.9%	17.9%	4,434	8.7%	12.8%
Asian Americans	14.6%	16.0%	13.1%	49.9%	3,875	7.6%	4.8%
Native Americans	22.7%	30.7%	25.6%	13.4%	332	0.7%	1.2%

¹The percentage after the doctorates awarded to nonresidents have been deducted from the total.

²Not Available.

Source: By the author. I used 2009 data, as the 2010 data show an unexplained jump of 134% in doctorates awarded. Based on *Statistical Abstract of the United States* 2013:Tables 36, 37, 300, and Figure 9.5 of this text.

seats in the U.S. House of Representatives (*Statistical Abstract* 2013:Table 421). Yet, compared with the past, even these small totals represent substantial gains. On the positive side, several Latinos have been elected as state governors. The first Latina to become a governor is Susana Martinez of New Mexico, who was elected in 2010.

It is likely that Latinos soon will play a larger role in U.S. politics, perhaps one day even beyond their overall numbers. This is because the six states in which they are concentrated hold one-third of the country's 538 electoral votes: California (55), Texas (38), Florida (29), New York (29), Illinois (20), and Arizona (11). Latinos have received presidential appointments to major federal positions, such as Secretary of the Interior, Secretary of Transportation, and Secretary of Housing and Urban Development.

Divisions based on country of origin hold back the potential political power of Latinos. As I mentioned, Latinos do not think of themselves as a single people, and national origin remains highly significant. People from Puerto Rico, for example, feel little sense of unity with people from Mexico. It is similarly the case with those from Venezuela, Colombia, or El Salvador. It used to be the same with European immigrants. Those who came from Germany and Sweden or from England and France did not identify with one another. With time, the importance of the European country of origin was lost, and they came to think of themselves as Americans. Perhaps this will happen to Latinos as well, but for now, these distinctions nourish disunity and create political disagreements.

Social class divisions also obstruct unity among Latinos. In some cases, even when they come from the same country, the differences in their backgrounds are severe. Most of the half million Cubans who fled their homeland after Fidel Castro came to power in 1959 were well-educated, financially comfortable professionals or businesspeople. In contrast, the 100,000 “boat people” who arrived 20 years later were mainly lower-class refugees to whom the earlier arrivals would hardly have spoken in Cuba. The earlier arrivals have prospered in Florida and control many businesses and financial institutions: There continues to be a vast gulf between them and those who came later.



For millions of people, the United States represents a land of opportunity and freedom from oppression. Shown here are Cubans who reached the United States by transforming their 1950s truck into a boat.



Explore on **MySocLab**

Activity: Social Constructions of Race and Ethnicity

African Americans

It was 1955, in Montgomery, Alabama. As specified by law, whites took the front seats of the bus, and blacks went to the back. As the bus filled up, blacks had to give up their seats to whites.

When Rosa Parks, a 42-year-old African American woman and secretary of the Montgomery NAACP, was told that she would have to stand so that white folks could sit, she refused (Bray 1995). She stubbornly sat there while the bus driver raged and whites felt insulted. Her arrest touched off mass demonstrations, led 50,000 blacks to boycott the city's buses for a year, and thrust an otherwise unknown preacher into a historic role.

Reverend Martin Luther King, Jr., who had majored in sociology at Morehouse College in Atlanta, Georgia, took control. He organized car pools and preached nonviolence. Incensed at this radical organizer and at the stirrings in the normally compliant black community, segregationists also put their beliefs into practice—by bombing the homes of blacks and dynamiting their churches.

After slavery was abolished, the Southern states passed legislation (*Jim Crow* laws) to segregate blacks and whites. In 1896, the U.S. Supreme Court ruled in *Plessy v. Ferguson* that it was a reasonable use of state power to require “separate but equal” accommodations

rising expectations the sense that better conditions are soon to follow, which, if unfulfilled, increases frustration

Until the 1960s, the South's public facilities were segregated. Some were reserved for whites, others for blacks. This *apartheid* was broken by blacks and whites who worked together and risked their lives to bring about a fairer society. Shown here is a 1963 sit-in at a Woolworth's lunch counter in Jackson, Mississippi. Sugar, ketchup, and mustard are being poured over the heads of the demonstrators.

for blacks. Whites used this ruling to strip blacks of the political power they had gained after the Civil War. Declaring political primaries to be “white,” they prohibited blacks from voting in them. Not until 1944 did the Supreme Court rule that political primaries were not “white” and were open to all voters. White politicians then passed laws that restricted voting only to people who could read—and they determined that most African Americans were illiterate. Not until 1954 did African Americans gain the legal right to attend the same public schools as whites, and, as recounted in the vignette, even later to sit where they wanted on a bus.

Rising Expectations and Civil Strife. The barriers came down, but they came down slowly. In 1964, Congress passed the Civil Rights Act, making it illegal to discriminate on the basis of race. African Americans were finally allowed in “white” restaurants, hotels, theaters, and other public places. Then in 1965, Congress passed the Voting Rights Act, banning the fraudulent literacy tests that the Southern states had used to keep African Americans from voting.

African Americans then experienced what sociologists call **rising expectations**. They expected that these sweeping legal changes would usher in better conditions in life. However, the lives of the poor among them changed little, if at all. Frustrations built up, exploding in Watts in 1965, when people living in that ghetto of central Los Angeles took to the streets in the first of what were termed the *urban revolts*. When a white supremacist assassinated King on April 4, 1968, inner cities across the nation erupted in fiery violence. Under threat of the destruction of U.S. cities, Congress passed the sweeping Civil Rights Act of 1968.



Continued Gains. Since then, African Americans have made remarkable gains in politics, education, and jobs. At 10 percent, the number of African Americans in the U.S. House of Representatives is *two to three times* what it was a generation ago (*Statistical Abstract* 1989:Table 423; 2013:Table 421). As college enrollments increased, the middle class expanded, and today a little over half (54 percent) of all African American families make more than \$35,000 a year. Two in five earn more than \$50,000 a year. As you can see from Table 9.4, one in eight has an income over \$100,000 a year.

African Americans have become prominent in politics. Jesse Jackson (another sociology major) competed for the Democratic presidential nomination in 1984 and 1988. In 1989, L. Douglas Wilder was elected governor of Virginia, and in 2006, Deval Patrick became governor of Massachusetts. These accomplishments, of course, pale in comparison to the election of Barack Obama as president of the United States in 2008 and his re-election in 2012.

Current Losses. Despite these remarkable gains, African Americans continue to lag behind in politics, economics, and education. According to their share of the population, we would expect twelve or thirteen African American senators. How many are there? *Zero*. There have been only six in U.S. history. As Tables 9.2 and 9.3 on page 276 show, African Americans average only 59 percent of white income, experience much more poverty, and are less likely to have a college education. That two of five of African American families have incomes over \$50,000 is only part of the story. Table 9.4 shows the other part—that one of every five African American families makes less than \$15,000 a year.

Race or Social Class? A Sociological Debate. Let's turn to an ongoing disagreement in sociology. Sociologist William Julius Wilson (1978, 2000, 2007) argues that social class is more important than race in determining the life chances of African Americans. Some other sociologists disagree.

For background on why Wilson makes this argument, let's start with civil rights legislation. Prior to the civil rights laws, African Americans were excluded from avenues of economic advancement: good schools and good jobs. When civil rights laws opened new opportunities, African Americans seized them, and millions entered the middle class. As the better-educated African Americans obtained white-collar jobs, they moved to better areas of the city and to the suburbs.

Left behind in the inner city were the less educated and less skilled, who depended on blue-collar jobs. At this time, a second transition was taking place: Manufacturing was moving from the city to the suburbs. This took away those blue-collar jobs. Without work, those in the inner city have the least hope, the most despair, and the violence that so often dominates the evening news.

This is the basis of Wilson's argument. The upward mobility of millions of African Americans into the middle class created two worlds of African American experience—one educated and affluent, the other uneducated and poor. Those who have moved up the social class ladder live in comfortable homes in secure neighborhoods. Their jobs provide decent incomes, and they send their children to good schools. Those who are stuck in the inner city live in depressing poverty, attend poor schools, and have little



In 2008, Barack Obama was elected president of the United States, the first minority to achieve this office. In 2012, he was reelected.

 **Watch on MySocLab**
Video: Sociology in Focus: Race and Ethnicity

 **Read on MySocLab**
Document: Race as Class

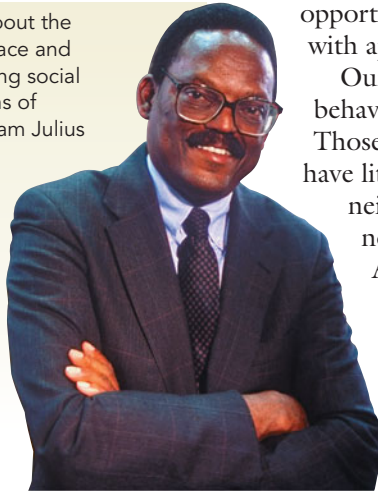
TABLE 9.4 Race–Ethnicity and Income Extremes

	Less than \$15,000	Over \$100,000
Asian Americans	6.6%	37.6%
Whites	5.8%	30.3%
African Americans	19.4%	12.5%
Latinos	15.6%	12.1%

Note: These are family incomes. Only these groups are listed in the source.

Source: By the author: Based on *Statistical Abstract of the United States* 2013:Table 710.

Sociologists disagree about the relative significance of race and social class in determining social and economic conditions of African Americans. William Julius Wilson, shown here, is an avid proponent of the social class side of this debate.



Read on MySocLab

Document: Dumping in Dixie: Race, Class, and the Politics of Place

opportunity for work. They are filled with hopelessness and despair, combined with apathy or hostility.

Our experiences shape our views on life, our attitudes, our values, and our behavior. Look at how vastly different these two worlds of experiences are. Those who learn middle-class views, with its norms, aspirations, and values, have little in common with the orientations to life that arise from living in neighborhoods of deep poverty. Wilson, then, stresses that social class—not race—has become the more significant factor in the lives of African Americans.

Some sociologists reply that this analysis overlooks the discrimination that continues to underlie the African American experience. They note that African Americans who do the same work as whites average less pay (Willie 1991; Herring 2002) and even receive fewer tips (Lynn et al. 2008). Others document how young black males experience daily indignities and are objects of suspicion and police brutality (Rios 2011). These, they argue, point to racial discrimination, not to social class.

What is the answer to this debate? Wilson would reply that it is not an either-or question. My book is titled *The Declining Significance of Race*, he would say, not *The Absence of Race*. Certainly racism is still alive, he would add, but today, social class is more central to the African American experience than is racial discrimination. He stresses that we need to provide jobs for the poor in the inner city—because work provides an anchor to a responsible life (Wilson 1996, 2007, 2009).

Racism as an Everyday Burden.

Researchers sent out 5,000 résumés in response to help wanted ads in the Boston and Chicago Sunday papers. The résumés were identical, except some applicants had white-sounding names, such as Emily and Brandon, while others had black-sounding names, such as Lakisha and Jamal. Although the qualifications of these supposed job applicants were identical, the white-sounding names elicited 50 percent more callbacks than the black-sounding names (Bertrand and Mullainathan 2002).



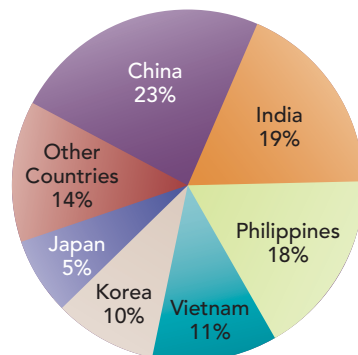
Read on MySocLab

Document: Racism Without "Racists"

Certainly racism continues as a regular feature of society, often something that whites, not subjected to it, are only vaguely aware of. But for those on the receiving end, racism can be an everyday burden. Here is how an African American professor describes his experiences:

[One problem with] being black in America is that you have to spend so much time thinking about stuff that most white people just don't even have to think about. I worry when I get pulled over by a cop. . . . I worry what some white cop is going to think when he walks over to our car, because he's holding on to a gun. And I'm very aware of how many black folks accidentally get shot by cops. I worry when I walk into a store, that someone's going to think I'm in there shoplifting. . . . And I get resentful that I have to think about things that a lot of people, even my very close white friends whose politics are similar to mine, simply don't have to worry about. (Feagin 1999:398)

FIGURE 9.9 Countries of Origin of Asian Americans



Source: By the author. Based on U.S. Census Bureau 2010.

Asian Americans

I have stressed in this chapter that our racial-ethnic categories are based more on social factors than on biological ones. This point is again obvious when we examine the category Asian American. As Figure 9.9 shows, those who are called Asian Americans came to the United States from many nations. *With no unifying culture or "race," why should people from so many backgrounds be clustered together and assigned a single label?* Think about it. What culture or race-ethnicity do Samoans and Vietnamese have in common? Or Laotians and Pakistanis? Or people from Guam and those from China? Those from Japan and those from India? Yet all these groups—and more—are lumped together and called Asian Americans. Apparently, the U.S. government is not satisfied until it is able to pigeonhole everyone into some racial-ethnic category.

Since *Asian American* is a standard term, however, let's look at the characteristics of the 15 million people who are lumped together and assigned this label.

A Background of Discrimination.

Lured by gold strikes in the West and an urgent need for unskilled workers to build the railroads, 200,000 Chinese immigrated between 1850 and 1880. When the famous golden spike was driven at Promontory, Utah, in 1869 to mark the completion of the railroad to the West Coast, white workers prevented Chinese workers from being in the photo—even though Chinese made up 90 percent of Central Pacific Railroad's labor force (Hsu 1971).

After the transcontinental railroad was complete, the Chinese competed with whites for other jobs. Anglos then formed vigilante groups to intimidate them. They also used the law. California's 1850 Foreign Miners Act required Chinese (and Latinos) to pay \$20 a month in order to work—when wages were a dollar a day. The California Supreme Court ruled that Chinese could not testify against whites (Carlson and Colburn 1972). In 1882, Congress passed the Chinese Exclusion Act, suspending all Chinese immigration for ten years. Four years later, the Statue of Liberty was dedicated. The tired, the poor, and the huddled masses it was intended to welcome were obviously not Chinese.

When immigrants from Japan arrived, they encountered *spillover bigotry*, a stereotype that lumped Asians together, depicting them as sneaky, lazy, and untrustworthy. After Japan attacked Pearl Harbor in 1941, conditions grew worse for the 110,000 Japanese Americans who called the United States their home. U.S. authorities feared that Japan would invade the United States and that the Japanese Americans would fight on Japan's side. They also feared that Japanese Americans would sabotage military installations on the West Coast. Although no Japanese American had been involved in even a single act of sabotage, on February 19, 1942, President Franklin D. Roosevelt ordered that everyone who was *one-eighth Japanese or more* be confined in detention centers (called "internment camps"). These people were charged with no crime, and they had no trials. Japanese ancestry was sufficient cause for being imprisoned.

Diversity. As you can see from Tables 9.2 and 9.4 on pages 276 and 279, the income of Asian Americans has outstripped that of all groups, including whites. This has led to the stereotype that all Asian Americans are successful. Are they? Their poverty rate is actually slightly higher than that of whites, as you can also see from Table 9.2. As with Latinos, country of origin is significant: Poverty is low for Chinese and Japanese Americans, but it clusters among Americans from Southeast Asia. Altogether, between 1 and 2 million Asian Americans live in poverty.

Reasons for Financial Success. The high average incomes of Asian Americans can be traced to three major factors: family life, educational achievement, and assimilation into mainstream culture. Of all ethnic groups, including whites, Asian American children are the most likely to grow up with two parents and the least likely to be born to a single mother (*Statistical Abstract* 2013:Table 69). Common in these families is a stress on self-discipline, thrift, and hard work (Suzuki 1985; Bell 1991). This early socialization provides strong impetus for the other two factors.

The second factor is their unprecedented rate of college graduation. As Table 9.3 on page 276 shows, 50 percent of Asian Americans complete college. To realize how stunning this is, compare their rate with those of the other groups shown on this table. Educational achievement, in turn, opens doors to economic success.

The most striking indication of the third factor, assimilation, is a high rate of intermarriage. Of all racial–ethnic groups, Asian Americans are the most likely to marry someone of a different racial–ethnic group (Wang 2012). Of Asian Americans who graduate from college, about 40 percent of the men and 60 percent of the women marry a non-Asian American (Qian and Lichter 2007). The intermarriage of Japanese Americans is so extensive that two of every three of their children have one parent who is not of Japanese descent (Schaefer 2012). The Chinese are close behind (Alba and Nee 2003).



Mazie Hirono, the first Japanese American woman to be elected a U.S. senator.

Politics. Asian Americans are becoming more prominent in politics. With about half of its citizens being Asian American, Hawaii has elected Asian American governors and sent several Asian American senators to Washington, including the one now serving there (Lee 1998; *Statistical Abstract* 2013:Table 421). The first Asian American governor outside of Hawaii was Gary Locke, who served from 1997 to 2005 as governor of Washington, a state in which Asian Americans make up less than 6 percent of the population. In 2008, Bobby Jindal became the first Indian American governor when he was elected governor of Louisiana, a state in which Asian Americans make up less than 2 percent of the population.

Native Americans

“I don’t go so far as to think that the only good Indians are dead Indians, but I believe nine out of ten are—and I shouldn’t inquire too closely into the case of the tenth.”

—Teddy Roosevelt, President of the United States 1901–1909
(As cited in “Past Imperfect” 2012)

Diversity of Groups. This quotation from Teddy Roosevelt provides insight into the rampant racism of earlier generations. Yet, even today, thanks to countless grade B Westerns, some Americans view the original inhabitants of what became the United States as uncivilized savages, a single group of people subdivided into separate tribes. The European immigrants to the colonies, however, encountered diverse groups of people who spoke over 700 languages. Their variety of cultures ranged from nomadic hunters and gatherers to farmers who lived in wooden houses (Schaefer 2004). Each group had its own norms and values—and the usual ethnocentric pride in its own culture. Consider what happened in 1744 when the colonists of Virginia offered college scholarships for “savage lads.” The Iroquois replied:

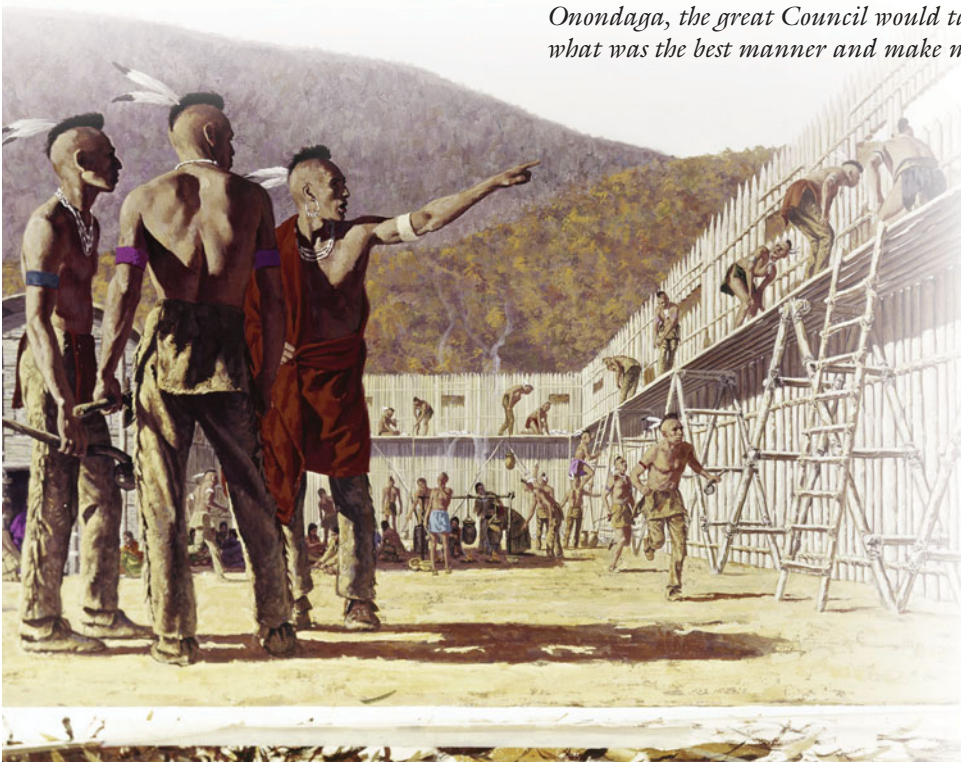
“Several of our young people were formerly brought up at the colleges of Northern Provinces. They were instructed in all your sciences. But when they came back to us, they were bad runners, ignorant of every means of living in the woods, unable to bear either cold or hunger, knew neither how to build a cabin, take a deer, or kill an enemy. . . . They were totally good for nothing.”

They added, “If the English gentlemen would send a dozen or two of their children to Onondaga, the great Council would take care of their education, bring them up in really what was the best manner and make men of them.” (Nash 1974; in McLemore 1994)

Native Americans, who numbered about 10 million, had no immunity to the diseases the Europeans brought with them. With deaths due to disease—and warfare, a much lesser cause—their population plummeted (Schaefer 2012). The low point came in 1890, when the census reported only 250,000 Native Americans. If the census and the estimate of the original population are accurate, Native Americans had been reduced to about *one-fortieth* their original size. The population has never recovered, but Native Americans now number about 4 million (see Figure 9.5 on page 271). Native Americans, who today speak 169 different languages, do not think of themselves as a single people who fit neatly within a single label (Siebens and Julian 2011).

From Treaties to Genocide and Population Transfer. At first, the Native Americans tried to accommodate the strangers, since

This depiction breaks stereotypes, but is historically accurate. Shown here is an Iroquois fort. Can you guess who the attackers are?



there was plenty of land for both the few newcomers and themselves. Soon, however, the settlers began to raid Indian villages and pillage their food supplies (Horn 2006). As wave after wave of settlers arrived, Pontiac, an Ottawa chief, saw the future—and didn’t like it. He convinced several tribes to unite in an effort to push the Europeans into the sea. He almost succeeded, but failed when the English were reinforced by fresh troops (McLemore 1994).

A pattern of deception evolved. The U.S. government would make treaties to buy some of a tribe’s land, with the promise to honor forever the tribe’s right to what it had not sold. European immigrants, who continued to pour into the United States, would then disregard these boundaries. The tribes would resist, with death tolls on both sides. The U.S. government would then intervene—not to enforce the treaty it had made but to force the tribe off its lands. In its relentless drive westward, the U.S. government embarked on a policy of genocide. It assigned the U.S. cavalry the task of “pacification,” which translated into slaughtering Native Americans who “stood in the way” of this territorial expansion.

The acts of cruelty perpetrated by the Europeans against Native Americans appear endless, but two are especially notable. The first is the Trail of Tears. The U.S. government adopted a policy of population transfer (see Figure 9.3 on page 269), which it called *Indian Removal*. The goal was to confine Native Americans to specified areas called *reservations*. In the winter of 1838–1839, the U.S. Army rounded up 15,000 Cherokees and forced them to walk a thousand miles from the Carolinas and Georgia to Oklahoma. Conditions were so brutal that about 4,000 of those who were forced to make this midwinter march died along the way. The second notable act of cruelty also marked the symbolic end of Native American resistance to the European expansion. In 1890 at Wounded Knee, South Dakota, the U.S. cavalry gunned down 300 men, women, and children of the Dakota Sioux tribe. After the massacre, the soldiers threw the bodies into a mass grave (Thornton 1987; Lind 1995; DiSilvestro 2006).

The Invisible Minority and Self-Determination. Native Americans can truly be called the invisible minority. Because about half live in rural areas and one-third in just three states—Oklahoma, California, and Arizona—most other Americans are hardly aware of a Native American presence in the United States. The isolation of about one-third of Native Americans on reservations further reduces their visibility (Schaefer 2012).

The systematic attempts of European Americans to destroy the Native Americans’ way of life and their forced resettlement onto reservations continue to have deleterious effects. The rate of suicide among Native Americans is higher than that of any other group, and their life expectancy is lower than that of the nation as a whole (Murray et al. 2006; Crosby et al. 2011). Table 9.3 on page 276 shows that their educational attainment also lags behind most groups: Only 13 percent graduate from college.

Native Americans are experiencing major changes. In the 1800s, U.S. courts ruled that Native Americans did not own the land on which they had been settled and had no right to develop its resources. They made Native Americans wards of the state, and the Bureau of Indian Affairs treated them like children (Mohawk 1991; Schaefer 2012). Then, in the 1960s, Native Americans won a series of legal victories that gave them control over reservation lands. With this legal change, many Native American tribes have opened businesses—ranging from fish canneries to industrial parks that serve metropolitan areas. The Skywalk, opened by the Hualapai, which offers breathtaking views of the Grand Canyon, gives an idea of the varieties of businesses to come (Audi 2012).

The Casinos. It is the casinos, though, that have attracted the most attention. In 1988, the federal government passed a law that allowed Native Americans to operate gambling establishments on reservations. Now over 200 tribes have casinos. *They bring in \$27 billion a year, more than all the casinos in Las Vegas combined* (Pratt 2011; *Statistical Abstract* 2013:Table 1273). The United Auburn tribe of California, which has only 200 adult members, runs a casino that nets \$30,000 *a month* for each member (Onishi 2012). This huge amount, however, pales in comparison with that of the Mashantucket Pequot tribe of Connecticut. With only 700 members, the tribe brings in more than \$2



Native American casinos remain a topic of both controversy and envy. Shown here is Corey Two Crow as he deals blackjack in a casino in Minnesota.

million a day just from slot machines (Rivlin 2007). Incredibly, one tribe has only *one* member: She has her own casino (Bartlett and Steele 2002).

Separatism. Preferring to travel a different road, some Native Americans embrace the highly controversial idea of *separatism*. Because Native Americans were independent peoples when the Europeans arrived and they never willingly joined the United States, many tribes maintain the right to remain separate from the U.S. government. The chief of the Onondaga tribe in New York, a member of the Iroquois Federation, summarized the issue this way:

For the whole history of the Iroquois, we have maintained that we are a separate nation. We have never lost a war. Our government still operates. We have refused the U.S. government's reorganization plans for us. We have kept our language and our traditions, and when we fly to Geneva to UN meetings, we carry Hau de

no sau nee passports. We made some treaties that lost some land, but that also confirmed our separate-nation status. That the U.S. denies all this doesn't make it any less the case. (Mander 1992)

Pan-Indianism. One of the most significant changes for Native Americans is **pan-Indianism**. This emphasis on common elements that run through their cultures is an attempt to develop an identity that goes beyond the tribe. Pan-Indianism (“We are all Indians”) is a remarkable example of the plasticity of ethnicity. It embraces and substitutes for individual tribal identities the label “Indian”—originally imposed by Spanish and Italian sailors who thought they had reached the shores of India. As sociologist Irwin Deutscher (2002:61) put it, “The peoples who have accepted the larger definition of who they are, have, in fact, little else in common with each other than the stereotypes of the dominant group which labels them.”

Determining Identity and Goals. Native Americans say that it is they who must determine whether to establish a common identity and work together as in pan-Indianism or to stress separatism and identify solely with their own tribes. It is up to us, they say, whether we want to assimilate into the dominant culture or to stand apart from it; to move to cities or to remain on reservations; or to operate casinos or to engage only in traditional activities. “We are sovereign nations,” they point out, “and we will not take orders from the victors of past wars.”

9.6 Discuss immigration, affirmative action, and a multicultural society.

pan-Indianism an attempt to develop an identity that goes beyond the tribe by emphasizing the common elements that run through Native American cultures

Looking Toward the Future

Back in 1903, sociologist W. E. B. Du Bois said, “The problem of the twentieth century is the problem of the color line—the relation of the darker to the lighter races.” Incredibly, over a hundred years later, the color line remains one of the most volatile topics facing the United States. From time to time, the color line takes on a different complexion, as with the war on terrorism and the corresponding discrimination directed against people of Middle Eastern descent.

In another hundred years, will yet another sociologist lament that the color of people’s skins still affects human relationships? Given our past, it seems that although

racial-ethnic walls will diminish, some even crumbling, the color line is not likely to disappear. Let's close this chapter by looking at two issues we are currently grappling with, immigration and affirmative action.

The Immigration Debate

Throughout its history, the United States has both welcomed immigration and feared its consequences. The gates opened wide (numerically, if not in attitude) for waves of immigrants in the 1800s and early 1900s. During the past twenty years, a new wave of immigration has brought close to a million new residents to the United States each year. Today, more immigrants (38 million) live in the United States than at any other time in the country's history (*Statistical Abstract* 2007:Table 5; 2013:Table 40).

In contrast to earlier waves, in which immigrants came almost exclusively from western Europe, the current wave of immigrants is so diverse that it is changing the U.S. racial-ethnic mix. If current trends in immigration (and birth) persist, in about fifty years, the "average" American will trace his or her ancestry to Africa, Asia, South America, the Pacific Islands, the Middle East—almost anywhere but white Europe. This change is discussed in the Cultural Diversity box on the next page.

In some states, the future is arriving much sooner than this. In California, racial-ethnic minorities have become the majority. California has 23 million minorities and 15 million whites (*Statistical Abstract* 2013:Table 18). Californians who request new telephone service from Pacific Bell can speak to customer service representatives in Spanish, Korean, Vietnamese, Mandarin, Cantonese—or English.

As in the past, there is concern that "too many" immigrants will change the character of the United States. "Throughout the history of U.S. immigration," write sociologists Alejandro Portés and Rubén Rumbaut (1990), "a consistent thread has been the fear that the 'alien element' would somehow undermine the institutions of the country and would lead it down the path of disintegration and decay." A hundred years ago, the widespread fear was that the immigrants from southern Europe would bring communism with them. Today, some fear that Spanish-speaking immigrants threaten the primacy of the English language. In addition, the age-old fear that immigrants will take jobs away from native-born Americans remains strong. Finally, minority groups that struggled for political representation fear that newer groups will gain political power at their expense.

Affirmative Action

Affirmative action in our multicultural society lies at the center of a national debate about racial-ethnic relations. In this policy, initiated by President Kennedy in 1961, goals based on race (and sex) are used in hiring, promotion, and college admission. Sociologist Barbara Reskin (1998) examined the results of affirmative action. She concluded that although it is difficult to separate the results of affirmative action from economic booms and busts and the greater number of women in the workforce, affirmative action has had a modest impact.

The results may have been modest, but the reactions to this program have been anything but modest. Affirmative action has been at the center of controversy for two generations. Liberals, both white and minority, say that this program is the most direct way to level the playing field of economic opportunity. If whites are passed over, this is an unfortunate cost that we must pay if we are to make up for past discrimination. In contrast, conservatives, both white and minority, agree that opportunity should be open to all, but claim that putting race (or sex) ahead of an individual's training and ability to perform a job is reverse discrimination. Because of their race (or sex), qualified people who had nothing to do with past inequality are discriminated against. They add that affirmative action stigmatizes the people who benefit from it, because it suggests that they hold their jobs because of race (or sex), rather than merit.

This national debate crystallized with a series of controversial rulings. One of the most significant was *Proposition 209*, a 1996 amendment to the California state



Read on MySocLab

Document: Illegal Immigration:
Gaps Between and Within Parties

Cultural Diversity in the United States

Glimpsing the Future: The Shifting U.S. Racial–Ethnic Mix

During the next twenty-five years, the population of the United States is expected to grow by about 22 percent. To see what the U.S. population will look like at that time, can we simply add 22 percent to our current racial–ethnic mix? The answer is a resounding no. As you can see from Figure 9.10, some groups will grow much more than others, giving us a different-looking United States. Some of the changes in the U.S. racial–ethnic mix will be dramatic. In twenty-five years, one of every nineteen Americans is expected to have an Asian background, and, in the most dramatic change, about one of four is expected to be of Latino ancestry.

The basic causes of this fundamental shift are the racial–ethnic groups' different rates of immigration and birth. Both will change the groups' proportions of the U.S. population, but immigration is by far the more important. From Figure 9.10, you can see that the proportion of non-Hispanic whites is expected to shrink, that of African Americans and Native Americans to remain about the same, and that of Latinos to increase sharply.

For Your Consideration

➔ This shifting racial–ethnic mix is one of the most significant events occurring in the United States. To better understand its implications, apply the three theoretical perspectives.

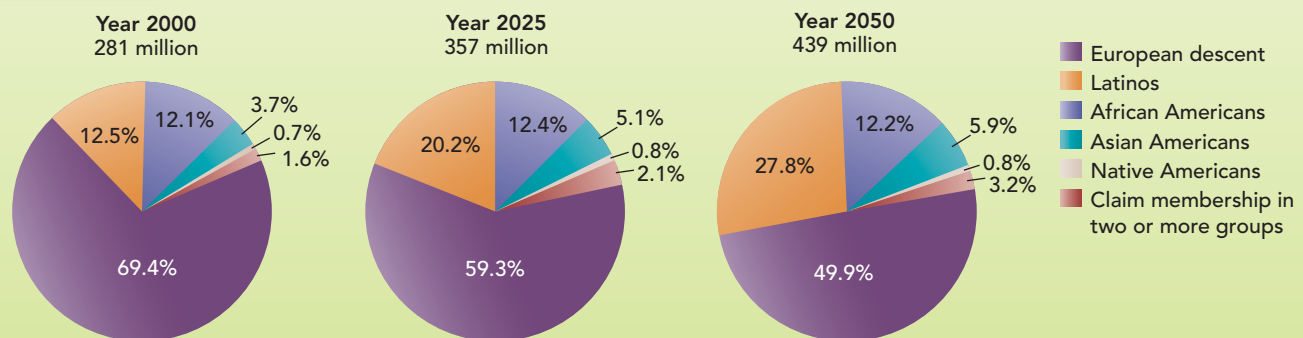


Use the *conflict perspective* to identify the groups that are likely to be threatened by this change. Over what resources are struggles likely to develop? What impact do you think this changing mix might have on European Americans? On Latinos? On African Americans? On Asian Americans? On Native Americans? What changes in immigration laws (or their enforcement) can you anticipate?

To apply the *symbolic interactionist perspective*, consider how groups might perceive one another differently as their proportions of the population change. How do you think that these changed perceptions will affect people's behavior?

To apply the *functionalist perspective*, try to determine how each racial–ethnic group will benefit from this changing mix. How will other parts of society (such as businesses) benefit? What functions and dysfunctions can you anticipate for politics, economics, education, or religion?

FIGURE 9.10 Projections of the Racial–Ethnic Makeup of the U.S. Population



Source: By the author. Based on U.S. Census Bureau 2009; *Statistical Abstract of the United States* 2013:Tables 5, 12. I modified the projections based on the new census category of membership in two or more groups and trends in interethnic marriage.

constitution. This amendment made it illegal to give preference to minorities and women in hiring, promotion, and college admissions. Despite appeals by a coalition of civil rights groups, the U.S. Supreme Court upheld this California law.

A second significant ruling was made by the U.S. Supreme Court in 2003. White students who had been denied admission to the University of Michigan claimed that they had been discriminated against because less qualified applicants had been admitted on the basis of their race. Again, the Court's ruling was ambiguous. The Court ruled that universities can give minorities an edge in admissions, but they cannot use a point system

to do so. Race can be a “plus factor,” but in the Court’s words, there must be “a meaningful individualized review of applicants.”

Officials found this ruling murky. To remove ambiguity, voters in California, Michigan, and Nebraska added amendments to their state constitutions that make it illegal for public institutions to consider race or sex in hiring, in awarding contracts, or in college admissions (Espenshade and Radford 2009; Pérez-Peña 2012).

With constitutional battles continuing and whites increasingly feeling that they are being discriminated against (Norton and Sommers 2011), the issue of affirmative action in a multicultural society is likely to remain center stage for quite some time.

Toward a True Multicultural Society

The United States has the potential to become a society in which racial-ethnic groups not only coexist but also respect one another—and thrive—as they work together for mutually beneficial goals. In a true multicultural society, the minority groups that make up the United States would participate fully in the nation’s social institutions while maintaining their cultural integrity. Reaching this goal will require that we understand that “the biological differences that divide one race from another add up to a drop in the genetic ocean.” For a long time, we have given racial categories an importance they never merited. Now we need to figure out how to reduce them to the irrelevance they deserve. In short, we need to make real the abstraction called equality that we profess to believe (Cose 2000).



The United States is the most racially-ethnically diverse society in the world. This can be our central strength, with our many groups working together to build a harmonious society, a stellar example for the world. Or it can be our Achilles heel, with us breaking into feuding groups, a Balkanized society that marks an ill-fitting end to a grand social experiment. Our reality will probably fall somewhere between these extremes.

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CHAPTER 9

Summary and Review

Laying the Sociological Foundation

9.1 Contrast the myth and reality of race, race and ethnicity, and minority and dominant groups; discuss ethnic work.

How is race both a reality and a myth?

In the sense that different groups inherit distinctive physical traits, race is a reality. There is no agreement regarding what constitutes a particular race, however, or even how many

racess there are. In the sense of one race being superior to another and of there being pure races, race is a myth. The *idea* of race is powerful, shaping basic relationships among people. Pp. 253–256.

How do race and ethnicity differ?

Race refers to inherited biological characteristics, **ethnicity** to cultural ones. Members of ethnic groups identify with one another on the basis of common ancestry and cultural heritage. Pp. 256–257.

What are minority and dominant groups?

Minority groups are people who are singled out for unequal treatment by members of the **dominant group**, the group with more power and privilege. Minorities originate with migration or the expansion of political boundaries. Pp. 257–258.

What heightens ethnic identity, and what is “ethnic work”?

A group’s ethnic identity is heightened or reduced by its relative size, power, and physical characteristics, as well as the amount of discrimination it faces. **Ethnic work** is the process of constructing and maintaining an ethnic identity. For people without a firm ethnic identity, ethnic work is an attempt to recover their ethnic heritage. For those with strong ties to their culture of origin, ethnic work involves enhancing group distinctions. Pp. 258–259.

Prejudice and Discrimination

9.2 Contrast prejudice and discrimination and individual and institutional discrimination; discuss learning prejudice, internalizing dominant norms, and institutional discrimination.

Why are people prejudiced?

Prejudice is an attitude, and **discrimination** is an action. Like other attitudes, prejudice is learned in association with others. Prejudice is so extensive that people can show prejudice against groups that don’t even exist. Minorities also internalize the dominant norms, and some show prejudice against their own group. Pp. 260–263.

How do individual and institutional discrimination differ?

Individual discrimination is the negative treatment of one person by another, while **institutional discrimination** is negative treatment that is built into social institutions. Institutional discrimination can occur without the awareness of either those who do the discriminating or those who are discriminated against. Discrimination in health care is one example. Pp. 263–264.

Theories of Prejudice

9.3 Contrast psychological and sociological theories of prejudice: include functionalism, conflict, and symbolic interactionism.

How do psychologists explain prejudice?

Psychological theories of prejudice stress the **authoritarian personality** and frustration displaced toward **scapegoats**. Pp. 264–265.

How do sociologists explain prejudice?

Sociological theories focus on how different social environments increase or decrease prejudice. *Functionalists* stress the benefits and costs that come from discrimination.

Conflict theorists look at how the groups in power exploit racial–ethnic divisions in order to control workers and maintain power. *Symbolic interactionists* stress how labels create **selective perception** and self-fulfilling prophecies. Pp. 265–267.

Global Patterns of Intergroup Relations

9.4 Explain genocide, population transfer, internal colonialism, segregation, assimilation, and multiculturalism.

What are the major patterns of minority and dominant group relations?

Beginning with the least humane, they are **genocide**, **population transfer**, **internal colonialism**, **segregation**, **assimilation**, and **multiculturalism** (**pluralism**). Pp. 267–271.

Racial–Ethnic Relations in the United States

9.5 Summarize the major patterns that characterize European Americans, Latinos, African Americans, Asian Americans, and Native Americans.

What are the major racial–ethnic groups in the United States?

From largest to smallest, the major groups are European Americans, Latinos, African Americans, Asian Americans, and Native Americans. Pp. 271–272.

What are some issues in racial–ethnic relations and characteristics of minority groups?

Latinos are divided by social class and country of origin. African Americans are increasingly divided into middle and lower classes, with two sharply contrasting worlds of experience. On many measures, Asian Americans are better off than white Americans, but their well-being varies with country of origin. For Native Americans, the primary issues are poverty, nationhood, and settling treaty obligations. The overarching issue for minorities is overcoming discrimination. Pp. 272–284.

Looking Toward the Future

9.6 Discuss immigration, affirmative action, and a multicultural society.

What main issues dominate U.S. racial–ethnic relations?

The main issues are immigration, affirmative action, and how to develop a true multicultural society. The answers are significant for our future. Pp. 284–287.

Thinking **Critically** about Chapter 9

1. How many races do your friends or family think there are? Do they think that one race is superior to the others? What do you think their reaction would be to the sociological position that racial categories are primarily social?
2. A hundred years ago, sociologist W. E. B. Du Bois said, "The problem of the twentieth century is the problem of the color line—the relation of the darker to the lighter races." Why do you think that the color line remains one of the most volatile topics facing the nation?
3. If you were appointed head of the U.S. Civil Service Commission, what policies would you propose to reduce racial–ethnic strife in the United States? Be ready to explain the sociological principles that might give your proposals a higher chance of success.